# UNITED NATIONS



International Tribunal for the Prosecution of Persons

Responsible for Serious Violations of International Humanitarian Law Committed in the Territory of the Former Yugoslavia since 1991 Case No.: IT-95-8-S

Date: 13 November 2001

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# **IN THE TRIAL CHAMBER**

Before: Judge Patrick Robinson, Presiding

Judge Richard May

Judge Mohamed Fassi Fihri

Registrar: Mr. Hans Holthuis

Judgement of: 13 November 2001

**PROSECUTOR** 

v. DU[KO SIKIRICA DAMIR DO[EN DRAGAN KOLUNDŽIJA

#### SENTENCING JUDGEMENT

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# I. INTRODUCTION AND PROCEDURAL HISTORY

# A. Introduction

- 1. The three co-accused Du{ko Sikirica, Damir Do{en and Dragan Kolund`ija were delivered into the custody of the International Tribunal for the Prosecution of Persons Responsible for Serious Violations of International Humanitarian Law Committed in the Territory of the former Yugoslavia since 1991 ("the International Tribunal") over a period of more than one year from June 1999 to July 2000. Warrants for the arrest of the accused had been issued on 21 July 1995, following the confirmation of an indictment against the three accused and ten¹ other co-accused by Judge Vohrah on the same day. The indictment related to events alleged to have occurred in the municipality of Prijedor in north-western Bosnia and Herzegovina and, in particular, to events said to have occurred at the Keraterm camp, including the alleged massacre of more than 120 men in a room known in the camp as Room 3 ("the Room 3 massacre"). Du{ko Sikirica was said to have been the commander of the Keraterm camp and Damir Do{en (also known as "Kajin" to many of the detainees) and Dragan Kolund`ija (also known as "Kole") were alleged to have been shift commanders in the camp.
- 2. Dragan Kolund`ija was indicted on five charges of violations of the laws or customs of war and crimes against humanity under Articles 3 and 5 respectively of the Statute of the International Tribunal ("Statute"). He was apprehended by the multinational stabilisation force (SFOR) operating in Bosnia and Herzegovina and transferred to the seat of the International Tribunal on 7 June 1999. At his initial appearance on 14 June 1999 counsel for Kolund`ija raised issues as to his identity. An evidentiary hearing was held on 24 June 1999, at which the Trial Chamber ruled that it was satisfied that Dragan Kolund`ija was the person named in the indictment.<sup>2</sup> On 13 July 1999, Dragan Kolund`ija pleaded not guilty to all charges.

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<sup>&</sup>lt;sup>1</sup> On 5 May 1998 Judge Vohrah approved the withdrawal of charges against five of the co-accused and in November 1998 Judge Vohrah also granted leave for the charges against another co-accused, Zoran @igi}, to be incorporated with others into an amended indictment in another case (IT-98-30).

<sup>&</sup>lt;sup>2</sup> Hearing, 24 June 1999, Transcript page ("T.") 51–52.

- 3. Damir Do{en was indicted on seven charges of violations of the laws or customs of war and crimes against humanity under Articles 3 and 5 respectively of the Statute. He was arrested and transferred to the International Tribunal on 25 October 1999 and made his initial appearance on 8 November 1999.<sup>3</sup> At his initial appearance Damir Do{en pleaded not guilty to all charges.
- 4. Du{ko Sikirica was indicted on nine charges of violations of the laws or customs of war, genocide and crimes against humanity under Articles 3, 4 and 5 of the Statute respectively. He was arrested and transferred to the International Tribunal on 25 June 2000, making his initial appearance on 7 July 2000.<sup>4</sup> At his initial appearance Du{ko Sikirica pleaded not guilty to all charges.
- 5. The indictment against the accused was amended in August 1999, again with leave from Judge Vohrah. Following preliminary motions filed by both the Kolund`ija Defence and the Do{en Defence objecting to the form of the indictment, the Trial Chamber issued a Decision on Preliminary Motions on 10 February 2000 requiring the Office of the Prosecutor ("Prosecution") to file an amended version of a confidential attachment to the indictment. The attachment was to provide the accused with more detail of the capacity in which each was alleged to have participated in the criminal conduct charged and to specify whether the alleged responsibility was direct responsibility or superior responsibility. The amended attachment was filed on 9 March 2000, following which the Do{en Defence raised an argument that the amended attachment went beyond the scope of the counts of the indictment itself. The matter was eventually resolved by agreement between the parties and, on 20 December 2000, the Prosecution was instructed to file a final version of the indictment and of the amended attachment. These were duly filed on 3 January 2001 and together form the Second Amended Indictment (hereinafter "the Indictment").
- 6. Du{ko Sikirica was charged with: genocide and complicity to commit genocide (Counts 1 and 2); persecutions on political, racial or religious grounds (Count 3), inhumane acts (Count 4) and murder (Count 8) or, in the alternative, inhumane acts (Count 10) (crimes against humanity); and outrages upon personal dignity (Count 5) and murder (Count 9) or, in the alternative, cruel treatment (Count 11) (violations of the laws or customs of war). All of the offences charged in the Indictment were alleged to have been committed in the period from 24 May 1992 to the end of August 1992.

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<sup>&</sup>lt;sup>3</sup> Damir Do{en was unable to attend a hearing scheduled for 1 November 1999 due to a sports injury incurred at the United Nations Detention Unit.

<sup>&</sup>lt;sup>4</sup> The initial appearance was delayed to permit Du{ko Sikirica to receive medical treatment.

- 7. Damir Do{en was charged with: persecutions on political, racial or religious grounds (Count 3), inhumane acts (Counts 4 and 14) and torture (Count 12) (crimes against humanity); and outrages upon personal dignity (Count 5), torture (Count 13) and cruel treatment (Count 15) (violations of the laws or customs of war). All of the offences charged in the Indictment were alleged to have been committed in the period from 24 May 1992 to the end of August 1992.
- 8. Dragan Kolund`ija was charged with: persecutions on political, racial or religious grounds (Count 3), inhumane acts (Count 4) and murder (Count 6) (crimes against humanity); and outrages upon personal dignity (Count 5), and murder (Count 7) (violations of the laws or customs of war). All of the offences charged in the Indictment were alleged to have been committed in the period from 24 May 1992 to the end of August 1992.
- 9. During a status conference in September 2000, it was agreed that the trial would proceed on the basis of the unredacted Indictment, including the names of the four accused who had not been arrested.<sup>5</sup> The trial commenced on 19 March 2001 and the Prosecution case was completed on 1 June 2001, over a period of 33 sitting days. The Prosecution called 34 witnesses and the transcripts of testimony of a further six witnesses<sup>6</sup> given in other proceedings before the International Tribunal were admitted pursuant to Rule 92 *bis* of the Rules of Procedure and Evidence of the International Tribunal ("Rules").
- 10. All three accused filed motions for acquittal at the close of the Prosecution case and oral argument on the motions was heard on 21 June 2001. An oral decision was pronounced on 27 June 2001, with the Trial Chamber reserving its written reasons until later. The Trial Chamber granted the motion filed on behalf of Du{ko Sikirica insofar as it related to the charges of genocide and complicity to commit genocide and dismissed Counts 1 and 2 of the Indictment. It also dismissed Counts 12 to 15 of the Indictment (torture, cruel treatment and inhumane acts) against Damir Do{en, which relate to an incident in Room 2, confirming the concession by the Prosecution that the only evidence connecting the accused to the alleged events was, in fact, exculpatory in nature. The remainder of the motions were denied. The written Judgement on Defence Motions to Acquit was issued on 3 September 2001, following the court recess.

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<sup>&</sup>lt;sup>5</sup> Status Conference, 14 Sept. 2000, T. 419-21.

<sup>&</sup>lt;sup>6</sup> Three of these witnesses were recalled for cross-examination.

<sup>&</sup>lt;sup>7</sup> Prosecution Response to the Submission of Damir Do{en under Rule 98 bis, 15 June 2001, para. 3.

- 11. The Defence for Du{ko Sikirica presented its case, based on a notice of alibi, over a period of five sitting days, from 27 June to 5 July 2001, with a total of 15 witnesses, including character witnesses. The Defence for Damir Do{en then presented its case over a period of eight sitting days from 16 to 30 July 2001, calling 16 witnesses, including two medical experts in mitigation.
- 12. Counsel for Dragan Kolund`ija sought and was granted a postponement of the presentation of his case, pending review of the detailed findings of the Trial Chamber in the written Judgement on Defence Motions to Acquit. On 31 August 2001 a joint submission was filed on behalf of Dragan Kolund`ija in which the Prosecution informed the Trial Chamber of an agreement reached between them as to the entry of a guilty plea by the accused to Count 3 of the Indictment (persecution).<sup>8</sup>
- 13. Dragan Kolund`ija appeared before the Trial Chamber on 4 September 2001 and entered a plea of guilty to Count 3 of the Indictment. After an adjournment to permit the parties to file a further document setting out the admitted facts relevant to the plea, the Trial Chamber accepted the plea and entered a finding of guilt on that date. At the same hearing the Prosecution confirmed that it formally withdrew the remaining counts against Dragan Kolund`ija.
- 14. On 7 September 2001, joint submissions were filed on behalf of both Du{ko Sikirica and Damir Do{en with the Prosecution informing the Trial Chamber of agreements reached between them as to the entry of a guilty plea by each accused to Count 3 of the Indictment (persecution) and, contingent upon the Chamber's acceptance of those pleas, the withdrawal of all other counts against them.
- 15. Du{ko Sikirica and Damir Do{en both appeared before the Trial Chamber on 19 September 2001 and each entered a plea of guilty to Count 3 of the Indictment. The Trial Chamber accepted the pleas and entered findings of guilt on that date. At the same hearing the Prosecution confirmed that it formally withdrew the remaining counts against Du{ko Sikirica and Damir Do{en.
- 16. The Trial Chamber received written submissions on sentencing from the Prosecution on 28 September 2001 and three separate Defence submissions on 3 and 4October 2001. Written statements of fact and character witnesses were submitted on behalf of Dragan Kolund`ija and oral argument was heard on 8 and 9 October 2001.

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<sup>&</sup>lt;sup>8</sup> Article 5 of the Statute provides as follows: "The International Tribunal shall have the power to prosecute persons responsible for the following crimes ... (h) persecutions on political, racial and religious grounds."

#### B. The Plea Agreements

The terms of the agreement between each accused and the Prosecution are set out in separate documents: "Joint Submission of the Prosecution and the Accused Du{ko Sikirica Concerning a Plea Agreement and Admitted Facts" filed on 7September 2001 ("the Sikirica Plea Agreement"); "Joint Submission of the Prosecution and the Accused Damir Do{en Concerning a Plea Agreement and Admitted Facts" also filed on 7September 2001 ("the Do{en Plea Agreement"); and a "Joint Submission of the Prosecution and the Accused Dragan Kolund`ija of a Plea Agreement" ("Kolund`ija Joint Submission") filed on 31 August 2001, together with a further document "Admitted Facts Relevant to the Plea Agreement for Dragan Kolund`ija" ("Agreed Facts") filed on 4 September 2001 (together "the Kolund`ija Plea Agreement"). Each of these documents sets out the factual basis for the participation of each accused in the events charged. Furthermore, each accused acknowledged in the respective Plea Agreement that by entering a plea of guilty he voluntarily waived certain procedural rights. 9

# 1. The Sikirica Plea Agreement

18. In the Sikirica Plea Agreement the Prosecution and Du{ko Sikirica agree on certain facts as being true and constituting the factual basis for the guilty plea to the charge of persecution, a crime against humanity, as set forth in paragraph 36 (a) to (e) of the Indictment. It is agreed that the count of persecution encompasses the evidence led by the Prosecution in respect of the Keraterm camp<sup>10</sup> as to the specific allegations in the Indictment of: (a) the murder of Bosnian Muslims, Bosnian Croats and other non-Serbs in the Prijedor municipality, including those detained in the Keraterm camp; (b) the torture and beating of Bosnian Muslims, Bosnian Croats and other non-Serbs in the Prijedor municipality, including those detained in the Keraterm camp; (c) the sexual assault and rape of Bosnian Muslims, Bosnian Croats and other non-Serbs in the Prijedor municipality, including those detained in the Keraterm camp; (d) the harassment, humiliation and psychological abuse of Bosnian Muslims, Bosnian Croats and other non-Serbs in the Prijedor municipality, including those detained in the Keraterm camp; and (e) the confinement of Bosnian Muslims, Bosnian Croats and other non-Serbs in inhumane conditions in the Keraterm camp.

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<sup>&</sup>lt;sup>9</sup> These include the right to plead not guilty, the right to be presumed innocent until guilt has been established at trial beyond a reasonable doubt, the right to testify or to remain silent at trial and the right to appeal a finding of guilty or to appeal any pre-trial rulings or any rulings rendered during the course of the trial. *See* Sikirica and Do{en Plea Agreements, para. 4, Kolund`ija Joint Submission, para. D.

<sup>&</sup>lt;sup>10</sup> "Joint Submission of the Prosecution and the Accused Du{ko Sikirica Concerning a Plea Agreement and Admitted Facts" filed 7 Sept. 2001 ("the Sikirica Plea Agreement"), p. 4.

19. Du{ko Sikirica admits to having been the Commander of Security at the Keraterm camp. <sup>11</sup> While he had authority to report incidents or people to his superior, @ivko Kne`evi}, he did not himself have power to punish subordinates. <sup>12</sup> As Commander of Security, Du{ko Sikirica was not responsible for ensuring that detainees had adequate food, clothing, water, medical assistance and accommodation, although he did have certain discretionary powers, for example, to permit a friend or relative to give additional food to a detainee. <sup>13</sup>

20. It is also recorded that it was impossible for Du{ko Sikirica to prevent other persons, not on the staff, from entering the Keraterm camp at will and mistreating the detainees. 14

21. It is accepted that a number of persons were murdered at the Keraterm camp, and that among them is a detainee who was shot and killed by Du{ko Sikirica near to the toilets. 15 It is acknowledged by the Prosecution that there is no evidence that Du{ko Sikirica was present at the Keraterm camp during the events leading up to the Room 3 massacre or that he participated in this incident in any way, although it is acknowledged that he had a technical duty to prevent the entry of persons from outside the camp. 16

22. Beatings and rapes were carried out, often by persons who were not part of the camp staff, and certain detainees were forced to engage in sexual activities against their will.<sup>17</sup> It is acknowledged by the Prosecution that there is no evidence that Du{ko Sikirica knew of the incidents of rape or was in a position to know of them after the event.<sup>18</sup>

23. It is acknowledged that the detainees were subjected to inhumane conditions during their confinement at the Keraterm camp in the period from 24 May to 5 August 1992 and that there was an atmosphere of terror, arising from humiliation, harassment and psychological abuse, that caused serious physical and mental harm to those who witnessed these events.<sup>19</sup>

24. The Prosecution affirms that it would not have accepted a plea of this nature prior to the commencement of the case or while the charges of genocide were still pending against Du{ko Sikirica.<sup>20</sup>

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<sup>&</sup>lt;sup>11</sup> *Ibid.*, p. 8.

<sup>&</sup>lt;sup>12</sup> *Ibid*.

<sup>&</sup>lt;sup>13</sup> *Ibid*.

<sup>&</sup>lt;sup>14</sup> Ibid.

<sup>&</sup>lt;sup>15</sup> *Ibid.*, p. 5.

<sup>&</sup>lt;sup>16</sup> *Ibid.*, p. 7.

<sup>&</sup>lt;sup>17</sup> *Ibid.*, pp. 5–6.

<sup>&</sup>lt;sup>18</sup> *Ibid.*, p. 6.

<sup>&</sup>lt;sup>19</sup> *Ibid.*, pp. 4–5.

<sup>&</sup>lt;sup>20</sup> *Ibid.*, p. 4.

25. Finally, the Prosecution and the Defence agreed that the Prosecution would recommend to the Trial Chamber a sentence of not less than 10 years' and not more than 17 years' imprisonment, and that neither party would appeal any sentence imposed by the Trial Chamber within that range. <sup>21</sup> The Prosecution indicates in the Sikirica Plea Agreement that it will be seeking a sentence at the upper end of this range. <sup>22</sup>

# 2. The Do{en Plea Agreement

- 26. In the Do{en Plea Agreement the Prosecution and Damir Do{en agree on certain facts as being true and constituting the factual basis for the guilty plea to the charge of persecution, a crime against humanity, as set forth in paragraph 36 (b), (d) and (e) of the Indictment.<sup>23</sup> It is agreed that the count of persecution encompasses the evidence led by the Prosecution in respect of Keraterm<sup>24</sup> as to the specific allegations in the Indictment of: (b) the torture and beating of Bosnian Muslims, Bosnian Croats and other non-Serbs in the Prijedor municipality, including those detained in the Keraterm camp; (d) the harassment, humiliation and psychological abuse of Bosnian Muslims, Bosnian Croats and other non-Serbs in the Prijedor municipality, including those detained in the Keraterm camp; and (e) the confinement of Bosnian Muslims, Bosnian Croats and other non-Serbs in inhumane conditions in the Keraterm camp.
- 27. Damir Do{en admits to having been a shift leader at the Keraterm camp.<sup>25</sup> He had only limited control over other equally ranked guards on his shift and did not have the power to punish them.<sup>26</sup> As a shift leader, Damir Do{en was not responsible for ensuring that detainees had adequate food, clothing, water, medical assistance and accommodation, and it is agreed that there is evidence that he did at times exert his influence to improve conditions and that he assisted a number of detainees to receive food and medical treatment.<sup>27</sup>
- 28. It is also accepted that it was not always possible for Damir Do{en to prevent other persons, not on the staff, from entering the Keraterm camp at will and mistreating the detainees.<sup>28</sup>
- 29. It is agreed that many detainees were beaten, often by persons who were not part of the camp staff, and that these beatings contributed to an atmosphere of terror in Keraterm.<sup>29</sup> It is

<sup>&</sup>lt;sup>21</sup> *Ibid.*, p. 9.

<sup>22</sup> Ibid.

<sup>&</sup>lt;sup>23</sup> "Joint Submission of the Prosecution and the Accused Damir Do{en Concerning a Plea Agreement and Admitted Facts" filed 7 Sept. 2001 ("the Do{en Plea Agreement"), p. 4.

<sup>&</sup>lt;sup>24</sup> Ibid.

<sup>&</sup>lt;sup>25</sup> *Ibid.*, p. 6.

<sup>&</sup>lt;sup>26</sup> Ibid.

<sup>&</sup>lt;sup>27</sup> Ibid.

<sup>&</sup>lt;sup>28</sup> Ibid.

<sup>&</sup>lt;sup>29</sup> *Ibid.*, p. 4.

accepted that there is evidence that beatings occurred during periods of time when Damir Do{en was on duty and that he was aware of some of these beatings.<sup>30</sup> However, there is also evidence that Do{en, when aware that beatings were about to take place, attempted to prevent mistreatment of the detainees. 31

- 30. It is acknowledged that the detainees were subjected to inhumane conditions during their confinement at the Keraterm camp in the period from 24 May to 5 August 1992 and that the atmosphere of terror, arising from humiliation, harassment and psychological abuse, caused serious physical and mental harm to those who witnessed these events. 32
- 31. Finally, the Prosecution and the Defence agreed that the Prosecution would recommend to the Trial Chamber a sentence of not less than five years' and not more than seven years' imprisonment, and that neither party would appeal any sentence imposed by the Trial Chamber within that range.<sup>33</sup> The Prosecution indicates in the Do{en Plea Agreement that it will be seeking a sentence of seven years' imprisonment.<sup>34</sup>

# 3. The Kolund`ija Plea Agreement

- 32. In the Kolund`ija Plea Agreement the Prosecution and Dragan Kolund`ija agree on certain facts as being true and constituting the factual basis for the guilty plea to the charge of persecution, a crime against humanity, as set forth in paragraph 36 (e) of the Indictment. 35 It is agreed that the acts upon which the guilty plea to the count of persecution was entered encompass the evidence led by the Prosecution concerning the inhumane conditions to which the Bosnian Muslims, Bosnian Croats and other non-Serbs were subjected at the Keraterm camp. <sup>36</sup>
- Dragan Kolund`ija admits to having been a shift leader at the Keraterm camp.<sup>37</sup> As such he 33. exercised some control and authority over other guards on his shift.<sup>38</sup> As a shift leader, Dragan Kolund`ija was in a position to influence the day-to-day running of the Keraterm camp while he

<sup>38</sup> *Ibid.*, pp. 2-3.

<sup>&</sup>lt;sup>30</sup> *Ibid.*, p. 6.

<sup>&</sup>lt;sup>31</sup> Ibid.

<sup>&</sup>lt;sup>32</sup> *Ibid.*, p. 4.

<sup>&</sup>lt;sup>33</sup> *Ibid.*, p. 7.

<sup>&</sup>lt;sup>34</sup> Ibid.

<sup>&</sup>lt;sup>35</sup> "Joint Submission of the Prosecution and the Accused Dragan Kolund`ija of a Plea Agreement" filed 31 Aug. 2001 ("Joint Submission") and "Admitted Facts Relevant to the Plea Agreement for Dragan Kolund`ija" filed 4 Sept. 2001 ("Agreed Facts") (together "the Kolund` ija Plea Agreement"), Agreed Facts p. 4.

36 Joint Submission, p. 1.

<sup>&</sup>lt;sup>37</sup>Agreed Facts, p. 2.

was on duty.<sup>39</sup> It is agreed that there is evidence that he did at times assert his authority to improve conditions and that he assisted a number of detainees by allowing them privileges.<sup>40</sup>

- 34. It is also accepted that Dragan Kolund`ija prevented visitors to the Keraterm camp from abusing the detainees, with varying degrees of success.<sup>41</sup>
- 35. It is agreed that many detainees were beaten, often by persons who were not part of the camp staff, that some detainees died as a result of this mistreatment, <sup>42</sup> and that these beatings contributed to an atmosphere of terror in Keraterm. <sup>43</sup> It is accepted by the Prosecution that Dragan Kolund`ija did not personally mistreat or condone the mistreatment of detainees and that he frequently prevented guards on his shift from mistreating detainees. <sup>44</sup>
- 36. It is acknowledged that the detainees were subjected to inhumane conditions during their confinement at the Keraterm camp in the period from 24 May to 5 August 1992. 45
- 37. Finally, the Prosecution and the Defence agreed that the Prosecution would recommend to the Trial Chamber a sentence of not less than three years' and not more than five years' imprisonment, and that neither party would appeal any sentence imposed by the Trial Chamber within that range.<sup>46</sup> The Prosecution indicates in the Kolund`ija Plea Agreement that it will be seeking a sentence of five years' imprisonment.<sup>47</sup>

# C. The Guilty Pleas

38. At the hearings on 4 and 19 September 2001, each of the accused confirmed individually that the terms of the relevant Plea Agreement had been explained to him and discussed with his counsel, and that he understood the consequences of any plea that would be made by him. Each accused also confirmed that he understood that sentence was ultimately a matter for the Trial Chamber to determine, irrespective of the terms of the Plea Agreement. Each confirmed that he had not been threatened or coerced in any way to enter into the Plea Agreement, and that he was entering his plea voluntarily. Medical reports were submitted in respect of both Damir Do{en and

<sup>47</sup> Ibid.

<sup>&</sup>lt;sup>39</sup> *Ibid.*, p. 3.

<sup>40</sup> Ibid.

<sup>41</sup> Ibid.

<sup>42</sup> Ibid.

<sup>&</sup>lt;sup>43</sup> *Ibid.*, p. 3.

<sup>44</sup> *Ibid.*; Kolund`ija Plea Agreement, p. 1.

<sup>&</sup>lt;sup>45</sup> Agreed Facts, p. 2.

<sup>&</sup>lt;sup>46</sup> Kolund`ija Plea Agreement, p. 2.

Dragan Kolund`ija to confirm that each was capable of understanding the consequences of the change of plea.<sup>48</sup>

39. On each occasion, the Trial Chamber considered whether the plea of guilty to Count 3 of the Indictment, namely persecution, was valid and acceptable pursuant to Rule 62 *bis* of the Rules. Having satisfied itself as to the factual basis of the allegations and having considered the circumstances surrounding the entry of the plea, the Trial Chamber accepted the guilty pleas and entered a finding of guilt in respect of each accused. On each occasion the Trial Chamber accepted the withdrawal by the Prosecution of the relevant Counts of the Indictment, namely Counts 4, 5, 8, 9, 10 and 11 for Du{ko Sikirica, Counts 4 and 5 for Damir Do{en and Counts 4, 5, 6 and 7 for Dragan Kolund`ija.

# D. The Sentencing Proceedings

- 40. The Prosecution's Brief on Sentencing ("Prosecution Sentencing Brief") was filed on 28 September 2001, the Sentencing Brief of Du{ko Sikirica and the Defence Brief on Sentencing on behalf of Damir Do{en were both filed on 3 October 2001, and the Sentencing Brief on behalf of Dragan Kolund`ija was filed on 4 October 2001 (respectively "Sikirica Sentencing Brief", "Do{en Sentencing Brief" and "Kolund`ija Sentencing Brief").
- 41. A report from the Commanding Officer of the United Nations Detention Unit was submitted as to the behaviour of each accused while in custody. The Do{en Defence submitted a psychiatric examination report from Dr. Nikola Kmetic, 49 in addition to relying upon the evidence of the two medical experts who had already testified. The Kolund`ija Defence submitted 31 statements and exhibits in support of the Kolund`ija Sentencing Brief, including two medical reports, certificates to show that Dragan Kolund`ija had no prior criminal record, letters confirming offers of future employment and statements from 24 fact and character witnesses. 50
- 42. The sentencing hearing was held on 8 and 9 October 2001, at which time the Kolund`ija Defence confirmed that it did not intend to call any witnesses and intended to rely on the written documents submitted. Closing arguments were heard on behalf of all parties and each accused made a statement expressing remorse. The Prosecution requested the Trial Chamber to impose

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<sup>&</sup>lt;sup>48</sup> "Psychiatric Examination Report" by Dr. Nikola Kmetic, filed 4 Oct. 2001 ("Kmetic Report"); "Report on Dragan Kolund`ija" by Dr. Vera Petrovi}, filed 3 Sept. 2001 ("Petrovi} Report").

<sup>49</sup> Kmetic Report.

<sup>&</sup>lt;sup>50</sup> "Amended Supplemental and Complete List of Affidavits and Exhibits in support of the Sentencing Brief on behalf of Dragan Kolundzija", filed 8 Oct. 2001.

sentences of 17 years' imprisonment for Du{ko Sikirica, 7 years for Damir Do{en and 5 years for Dragan Kolund`ija. The Defence for Du{ko Sikirica suggested a sentence of 10 years and the Defence for Damir Do{en 5 years, while the Defence for Dragan Kolund`ija requested his immediate release.<sup>51</sup>

43. The Trial Chamber reserved its Judgement to a later date.

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<sup>&</sup>lt;sup>51</sup> Sentencing Hearing, 8 – 9 Oct. 2001 ("Sentencing Hearing"), T. 5674, 5681, 5687, 5720, 5739 and 5773.

# II. GUILTY PLEA AS BASIS FOR CONVICTION

44. The Statute does not directly address the issue of a guilty plea. Article 20, paragraph 3, of the Statute simply provides:

The Trial Chamber shall read the indictment, satisfy itself that the rights of the accused are respected, confirm that the accused understands the indictment, and instruct the accused to enter a plea. The Trial Chamber shall then set the date for trial.

- 45. Rule 62 *bis* of the Rules, which governs the taking of a guilty plea, sets out the criteria to be applied<sup>52</sup> by specifying that a Trial Chamber may only accept a plea of guilty where it is satisfied that:
  - (i) the guilty plea has been made voluntarily;
  - (ii) the guilty plea is informed;
  - (iii) the guilty plea is not equivocal; and
  - (iv) there is a sufficient factual basis for the crime and the accused's participation in it, either on the basis of independent indicia or on lack of any material disagreement between the parties about the facts of the case.
- 46. This Sentencing Judgement is based upon the Trial Chamber's acceptance of the guilty plea entered by each accused, its satisfaction as to the factual basis for the crimes alleged, and the consequent conviction of the three accused on Count 3 of the Indictment for persecution as a crime against humanity under Article 5 of the Statute.
- 47. Rule 62 *bis* (iv) requires that, before a Trial Chamber enters a finding of guilt on the basis of a guilty plea, it must be satisfied that "there is a sufficient factual basis for the crime and the accused's participation in it, either on the basis of independent indicia or on lack of any material disagreement between the parties about the facts of the case".
- 48. In arriving at a decision as to whether it is so satisfied, the Chamber is not confined to a consideration of the facts as agreed between the Prosecution and the Defence, because its fundamental obligation is to ensure that there is a sufficient factual basis for the crime and the accused's participation in it. Thus, if the Chamber is dissatisfied or is for any reason uncertain about any of the facts as agreed between the parties, the Chamber may conduct a trial on that particular issue for the purpose of determining those facts.

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<sup>&</sup>lt;sup>52</sup> These criteria were first established by the Appeals Chamber in *Prosecutor v. Dra`en Erdemovi*}, Case No. IT-96-22-A, Judgement, 7 Oct. 1997 ("*Erdemovi*} Appeal Judgement").

49. However, once a Trial Chamber enters a finding of guilt, it should, unless there are cogent reasons indicating otherwise, impose a sentence that is based on the agreed facts. This approach is perfectly consistent with the Judgement of the Appeals Chamber in the *Jeli{i}* case where it was held that a Trial Chamber, in considering sentence on a guilty plea, may take into account evidence presented during the trial "insofar as that evidence was presented to demonstrate facts or conduct to which the [accused] had pleaded guilty". <sup>53</sup>

<sup>&</sup>lt;sup>53</sup> Prosecutor v. Goran Jelisi}, Case No. IT-95-10-A, Judgement, 5 July 2001 ("Jelisi} Appeal Judgement"), para. 87.

#### III. CAMP CONDITIONS

50. Each accused has pleaded guilty to the crime of persecution, a crime against humanity. While the criminal conduct underlying their respective convictions varies considerably in its breadth and severity, it arises from the same set of events, namely those events that took place at the Keraterm camp over a period of about two months in the summer of 1992. Indeed, each of the Plea Agreements reflects the understanding that the count of persecution encompasses the evidence led by the Prosecution in respect of Keraterm.<sup>54</sup> For this reason, the Trial Chamber finds it helpful to set out some of the evidence of the conditions that prevailed in the Keraterm camp during the period in question.

# A. The camp

51. Most of the Prosecution witnesses heard by the Trial Chamber are former detainees who provided direct testimony as to the conditions in the camp, and its population. Similarly, some of the Defence witnesses testified as to the conditions and population of the camp.

# 1. <u>Description of the camp</u>

- The location known as the Keraterm camp, a large building, clearly visible from the main road linking Banja Luka and Prijedor, on the outskirts of Prijedor, was a former ceramics factory. The Keraterm camp was open to two major roads, and passers-by could see into the main area of the camp, which was surrounded not by barbed wire but by ordinary industrial fencing. The building had not been designed for the purpose of detaining people but was a ceramics factory. The
- 53. Some of the witnesses produced sketches of the Keraterm camp which they drew from memory or identified the various locations in the camp on photographs presented by the Prosecution. The building had two floors, of which the rooms on the ground floor were used to house prisoners. The four rooms in the Keraterm camp came to be referred to as Rooms 1, 2, 3 and 4. The rooms were located on the same side of the building. Rooms 1 and 2 were contiguous, as were Rooms 3 and 4. The section of the building containing Rooms 3 and 4 was set out a few meters further than the section containing Rooms 1 and 2. The distance between Room 2 and

<sup>&</sup>lt;sup>54</sup> See Do{en Plea Agreement, para. 7; Sikirica Plea Agreement, para. 7; Kolund`ija Plea Agreement, para. 1(C).

<sup>&</sup>lt;sup>55</sup> Witness J, T. 2147–48.

<sup>&</sup>lt;sup>56</sup> Witness C, T. 939.

<sup>&</sup>lt;sup>57</sup> Witness B, T. 804-05.

<sup>&</sup>lt;sup>58</sup> See Exhs 2A-2I; Witness B, T. 765-68, Exh. 4; Jusuf Arifagi}, T. 1551, Exh. 21; Witness C, T. 890, Exh. 13; Hajrudin Zubovi}, T. 2554-61, Exh. 37; Witness N, T. 2864-68.

<sup>&</sup>lt;sup>59</sup> Jusuf Arifagi}, T. 1550-51.

<sup>&</sup>lt;sup>60</sup> Witness B, T. 765-68, Exh. 4.

Room 3 was somewhere between 15 and 20 metres. 61 The distance between Room 1 and the front of Room 3 was approximately 60 metres, maybe less. 62

At the entrance, there was a reception area with a small brick building with windows, 54. referred to as a kiosk (weigh hut), with large weighing scales in front of it, 63 and what was described as grassy areas and a tarmac area ("pista") in front of the rooms. There were machine-gun emplacements in front of the rooms. 64 Searchlights were placed in the camp some 20 days before its closure, before the Room 3 massacre. 65 There were lampposts in the camp, which would work when there was electricity. 66 There were no fixed guard posts. 67

# 2. Population

The number of people detained in Keraterm increased markedly over time, from just a few 55. individuals in the beginning to a state of overcrowding. Some of the first persons to be brought to Keraterm on 31 May 1992 testified that it was empty. 68 Witness A testified that, the first night he spent in Keraterm, around 120 people were in Room 2.69 Most witnesses testified that subsequently the number of people in Keraterm ranged between 1000 and 1400.<sup>70</sup> with an average of 1200. The Chamber also heard evidence as to where those who were detained in Keraterm had come from within the municipality of Prijedor. 71

#### 3. Procedure upon arrival

56. Most witnesses testified to having been searched upon arrival at Keraterm at the entrance in front of the kiosk.<sup>72</sup> There is also some testimony that people were first lined up against the wall, with their legs spread and their hands against the wall. 73 Their identity cards and other official documents were taken. 74 Sharp objects, 75 valuables and personal belongings were usually taken

<sup>75</sup> Witness B, T. 752.

<sup>&</sup>lt;sup>61</sup> Hajrudin Zubovi}, T. 2557-58.

<sup>&</sup>lt;sup>62</sup> Witness M, T. 2754.

<sup>&</sup>lt;sup>63</sup> Witness B, T. 780; Witness C, T. 891; Witness F, T. 1486; Hajrudin Zubovi}, T. 2609; Witness N, T. 2868.

<sup>&</sup>lt;sup>64</sup> Witness C, T. 891; Hajrudin Zubovi}, T. 2609; Witness P, T. 3194.

<sup>&</sup>lt;sup>65</sup> Witness C, T. 891, 974-76, 1036.

<sup>&</sup>lt;sup>66</sup> Witness G, T. 1753.

<sup>67</sup> Senad Kenjar, T. 3585. 68 Witness A, T. 702; Witness B, T. 752-61.

<sup>&</sup>lt;sup>69</sup> Witness A, T. 702.

<sup>&</sup>lt;sup>70</sup> Witness A, T. 586, 703; Witness B, T. 809; Witness C, T. 940; Witness E, T. 1301; Witness F, T. 1462; Witness H, T. 1850-51; Witness I, T. 2086; Witness DB, T. 4461-62.

<sup>&</sup>lt;sup>71</sup> Ante Tomi}, T. 1950-51, 1956; Witness DR, T. 5570.

<sup>72</sup> Witness F, T. 1502; Witness H, T. 1790-91; Witness L, T. 2501-02; Witness N, T. 2837-39; Witness R, T. 3308; Senad Kenjar, T. 3534, Witness P, T. 3096.

<sup>&</sup>lt;sup>73</sup> Witness A, T. 570; Witness D, T. 1063; Witness E, T. 1240-41; Witness G, T. 1700.

<sup>&</sup>lt;sup>74</sup> Witness A, T. 571; Witness E, T. 1286-87; Witness H, T. 1790; Witness P, T. 3096, 3178; Salko Saldumovi), T. 3477; Witness R, T. 3310.

away on arrival at Keraterm, including money, jewellery, watches and cigarettes. <sup>76</sup> Personal documents taken were returned to some detainees but not to others. 77 Some witnesses' names were written down upon arrival. 78

- 57. Most witnesses who had been detained in the camp testified to having been hit upon arrival, or to having seen others being beaten. <sup>79</sup> New detainees arriving in the camp do not appear to have been beaten systematically, especially at the beginning. 80 Later, however, new persons brought to the camp appear to have been beaten more systematically. 81 The Chamber also heard evidence that some detainees were immediately singled out for mistreatment upon arrival.<sup>82</sup> Others were hit with rifle-butts and metal pipes, and some with wooden sticks. Witness G's beating lasted for around half an hour.83
- 58. Some former detainees testified that they were either simply taken directly into one of the rooms, or made to wait upon arrival.84

#### 4. Shifts

59. After an initial period at Keraterm camp, the guards were organised into three shifts: usually one shift came on between 6 and 8 a.m. and would be on duty for 12 hours and would be relieved sometime between 6 and 8 p.m. Each shift had a shift leader and about 10 guards. 85

#### 5. Assignment to rooms and transfer from one room to another

60. There is evidence that there was a general pattern, with a few exceptions, according to which certain categories of people would be placed in certain rooms. The first people taken to Keraterm on 31 May 1992 were placed in Room 2.86 The detainees were usually transferred to Room 1 after interrogation, particularly in the early days of the camp. 87 Others were moved to

<sup>87</sup> Witness A, T. 572; Witness B, T. 768; Witness C, T. 981; Witness V, T. 3800-02; Witness E, T. 1246.

<sup>&</sup>lt;sup>76</sup> Witness H, T. 1790; Witness K testified that Sikirica told them to remove their jewellery, T. 2263-64; Witness L, T. 2502, 2534; Witness N, T. 2837-38; Witness P, T. 3096, 3178-79; Witness X, T. 4011; Witness DN, T. 5129-30.

<sup>&</sup>lt;sup>77</sup> Witness A, T. 571; Witness E, T. 1286-87; Witness N, T. 2916; Witness P, T. 3178; Salko Saldumovi}, T. 3478; Witness X. T. 4053.

<sup>&</sup>lt;sup>78</sup> Witness G, T. 1700; Ante Tomi}, T. 1950; Witness L, T. 2501; Hajrudin Zubovi}, T. 2551-52, 2567; Witness R, T. 3310; Witness DO, T. 5225.

Witness D, T. 1063; Witness H, T. 1882-84.

<sup>80</sup> Witness A, T. 570-71; Witness E, T. 1241; Fikret Hidi}, T. 2330-31; Witness DM, T. 5080-81, 5096.
81 Witness L, T. 2501; Witness Q, T. 3239-41; Witness X, T. 4011; Jusuf Arifagi}, T. 1550.

<sup>&</sup>lt;sup>82</sup> Witness G, T. 1700-01.

<sup>&</sup>lt;sup>83</sup> Witness G, T. 1701-03.

<sup>&</sup>lt;sup>84</sup> Witness J, T. 2148; Ante Tomi}, T. 1950; Witness L, T. 2502.

<sup>&</sup>lt;sup>85</sup> Witness B, T. 756; Witness C, T. 898; Witness F, T. 1407-08.

<sup>&</sup>lt;sup>86</sup> Witness A, T. 572; Witness B, T. 768-69; Witness E, T. 1244; Jusuf Arifagi}, T. 1550; Witness G, T. 1703-04; Witness H, T. 1791; Salko Salmudovi}, T. 3453; Senad Kenjar, T. 3533; Witness W, T. 3875.

Room 4, in some cases after interrogation.<sup>88</sup> The Chamber also heard testimony that some detainees moved from one room to the other without authorization.<sup>89</sup> Some witnesses remained in Room 1 throughout their stay.<sup>90</sup> Room 4 did not seem to be used for a particular purpose. Do{en suggested that Witness B should move from Room 1 to Room 4, because Room 1 was overcrowded and Room 4 was free. Do{en then told Witness B that he would give him a key, so that he could lock himself up and that they would be safe in that way. According to Witness B, Do{en said: "And if anybody asked you about that, tell them that Kajin has the key, so there would be no problem." <sup>91</sup>

People who were detained in Room 3 were all transferred to the other rooms around 20 July 1992. Before the arrival of new detainees, Dragan Kolund`ija, who was on duty, asked detainees in other rooms to take a number of people from the Kozarac area from Room 3 into their rooms. All the new detainees from the Brdo area who were brought to Keraterm around 20 July were put in Room 3. Some testified to having been taken to Room 3 upon arrival between 20 and 23 July. Witness N testified that the room was empty and seemed to have been prepared for them.

# B. General living conditions

# 1. Accommodation

#### (a) Rooms where prisoners were kept

# (i) Room 1

62. According to former detainees, Room 1 was between 6 and 10 metres wide and 15 to 20 metres long, with a ceiling 3 to 4 metres high. Room 1 had a metal door, later replaced by a door with metal bars which allowed the detainees to see out, and allowed more air to circulate. The Chamber heard testimony that there was no space for the detainees to sit and that people frequently

<sup>&</sup>lt;sup>88</sup> Witness G, T. 1704, 1731; Witness DN, T. 5131.

<sup>&</sup>lt;sup>89</sup> Ante Tomi}, T. 1950-51; Witness C, T. 973-74.

<sup>&</sup>lt;sup>90</sup> Witness F, T. 1395-96; Witness S, T. 3619; Witness J, T. 2150; Witness M, T. 2761.

<sup>&</sup>lt;sup>91</sup> Witness B, T. 769-70.

<sup>&</sup>lt;sup>92</sup> Hajrudin Zubovi}, T. 2552-54; Jusuf Arifagi}, T. 1585.

<sup>93</sup> Witness A, T. 620-21; Witness B, T. 784.

<sup>&</sup>lt;sup>94</sup> Witness A, T. 621-22; Witness B, T. 784-85; Witness C, T. 919; Jusuf Arifagi}, T. 1585; Fikret Hidi}, T. 2357-59; Hajrudin Zubovi}, T. 2577; Witness M, T. 2704.

<sup>&</sup>lt;sup>95</sup> Witness L, T. 2501-02; Witness N, T. 2838; Witness Q, T. 3239-42; Witness R, T. 3314.

<sup>&</sup>lt;sup>96</sup> Witness N, T. 2838.

<sup>&</sup>lt;sup>97</sup> Witness B, T. 766; Witness F, T. 1395; Fikret Hidi}, T. 2337-38; Witness C, T. 892-93; Hajrudin Zubovi}, T. 2607-08

<sup>&</sup>lt;sup>98</sup> Witness C, T. 894; Witness F, T. 1395-96; Witness M, T. 2753-54, 2761-62; Witness DN, T. 5185-86; Witness DO, T. 5230.

had to stand. 99 The average population in Room 1 was estimated by most witnesses to be between 200 and 350 persons. 100 According to one witness, they were all prominent citizens from Prijedor. 101

# (ii) Room 2

63. Room 2 was the biggest of all the rooms. It was estimated to be 15 to 20 metres wide and 18 to 20 metres long, and about 2.5 to 3 metres high. 102 It was partitioned with some sort of fence, and there was a small warehouse, where tools and machinery were kept. 103 Room 2 had a solid aluminium double door, which was later replaced, around the end of June, with metal bars allowing more air in and better visibility. 104 Padlocks were also installed. 105 The floor of Room 2 was concrete and was always cold. There was some cardboard, which the detainees shared in the beginning when there were not so many of them. 106 The Chamber heard testimony that it was too hot in the room, that there was no light, and that there was a terrible smell. 107 As in Room 1. because of the overcrowding, some inmates took turns standing. The number of Room 2 detainees was estimated by most witnesses to be between 250 and 500 persons, 109 with a peak in the month of July, when there was an influx of detainees into Room 2 because of the transfer of people from Room 3. Hajrudin Zubovi} testified that a count taken on 27 or 28 July 1992 revealed that there were 570 people in Room 2. 110

# (iii) Room 3

Room 3 was approximately 6 metres by 8 or 10 metres, L-shaped and divided in the middle. There was a wall going up to the ceiling between Rooms 3 and 4, with no windows or breaks. 112 The detainees could see through the door in Room 3, but could not see through the windows. 113 Room 3 was next to the toilet facilities and lavatories, which leaked into Room 3 and

<sup>&</sup>lt;sup>99</sup> Fikret Hidi}, T. 2398; Witness J, T. 2150; Witness M, T. 2760, 2762.

<sup>&</sup>lt;sup>100</sup> Witness A, T. 580, 621; Witness F, T. 1396; Witness J, T. 2150; Fikret Hidi}, T. 2337; Witness M, T. 2760; Witness

<sup>&</sup>lt;sup>101</sup> Witness J, T. 2148.

<sup>&</sup>lt;sup>102</sup> Hajrudin Zubovi}, T. 2607-08; Witness A, T. 573; Witness B, T. 766-67; Witness C, T. 892; Jusuf Arifagi}, T. 1555; Witness P, T. 3190.

<sup>&</sup>lt;sup>103</sup> Witness B, T. 767.

<sup>&</sup>lt;sup>104</sup> Witness DN, T. 5185-86; Witness DO, T. 5230.

<sup>&</sup>lt;sup>105</sup> Witness A, T. 704; Jusuf Arifagi}, T. 1556.

<sup>106</sup> Witness B, T. 763. 107 Witness G, T. 1704; Witness H, T. 1792.

<sup>&</sup>lt;sup>108</sup> Hajrudin Zubovi}, T. 2613.

<sup>&</sup>lt;sup>109</sup> Witness A, T. 572, 702-03; Jusuf Arifagi}, T. 1626-27; Senad Kenjar, T. 3534; Ante Tomic, T. 1950-51, 1986; Witness E, T. 1244; Witness H, T. 1791.

<sup>&</sup>lt;sup>110</sup> Hajrudin Zubovi}, T. 2613.

<sup>&</sup>lt;sup>111</sup> Witness N, T. 2859-60.

<sup>&</sup>lt;sup>112</sup> Witness L, T. 2530.

<sup>&</sup>lt;sup>113</sup> Witness P, T. 3193.

produced a terrible smell. 114 Conditions in Room 3 were described as very poor or "dramatic" and hot inside. 115 As to the number of people detained in Room 3, the Chamber mostly heard testimony as to the status on or about 20 July 1992 when the groups from Brdo arrived. 116 Witnesses testified that there were between 250 and 400 people in Room 3 at that time and later the number rose to 570.<sup>117</sup>

# (iv) Room 4

Room 4 was roughly the same size as Room 3, or a little larger. 118 It consisted of two parts 65. of 6 by 6 metres with a small hallway in the middle. The room changed to a horseshoe shape from the middle. The ceiling was about 3 to 4 metres high, and there was a small toilet in one of the corners to the right of the door, which was dirty and made the air stale. 119 As with the other rooms, Room 4 had a double metal door and there was a window above. When the door was closed, people could not see what was happening on the other side. 120 Similarly, Room 4 was overcrowded, with a population that grew to 280 and later 400 to 500 people. 121

# (b) Room "leaders"

66. The Trial Chamber heard testimony that each room had a "leader" who served as a link between the guards, the shift commanders and the detainees, and he kept a record or list of the detainees in the room. 122 Detainees who were easily recognisible were usually picked out by the guards for the task. 123

# (c) Sleeping facilities

67. There is evidence before the Chamber that no sleeping facilities as such were provided to the persons detained in the camp. In addition to the lack of space available to lie down, because of the overcrowding in the rooms, no bedding facilities or blankets were provided, and inmates slept on the concrete floor for the first month, without any blankets or bedding until they were given

<sup>123</sup> Witness L, T. 2503.

<sup>&</sup>lt;sup>114</sup> Witness H, T. 1810; Witness B, T. 785.

<sup>115</sup> Witness B, T. 785; Witness L, T. 2505.
116 Witness P, T. 3102; Hajrudin Zubovi}, T. 2553; Witness DO, T. 5226-27.
117 Witness L, T. 2503; Witness N, T. 2838-40, 2859; Witness Q, T. 3239-42; Witness R, T. 3314, 3394-95.

<sup>&</sup>lt;sup>118</sup> Hajrudin Zubovi}, T. 2608; Witness B, T. 767.

<sup>&</sup>lt;sup>119</sup> Witness B, T. 767; Witness I, T. 2048-49; Witness D, T. 1071-72.

<sup>&</sup>lt;sup>120</sup> Witness I, T. 2111-12.

<sup>&</sup>lt;sup>121</sup> Witness B, T. 769-70; Witness G, T. 1742; Witness I, T. 2049.

<sup>&</sup>lt;sup>122</sup> Witness A, T. 598-99; Witness B, T. 770-73; Witness F, T. 1503; Witness L, T. 2505; Witness DN, T. 5132-34.

some wooden pallets on which to sleep. 124 Witness B testified that Kolund`ija would sometimes allow them to be given blankets, <sup>125</sup> sent, according to Witness DO, by the Red Cross. <sup>126</sup>

# (d) Access to toilets

68. The Trial Chamber heard evidence as to the inadequacy of the toilet facilities accessible to the detainees. Most toilets were not working and to access them detainees had to wade through water, urine and excrement. 127 The detainees had to use a barrel to relieve themselves in Rooms 2 and 3, or bags in Room 1, where, according to Witness DO, Do{en suggested that the detainees take a barrel into the room and use it as a toilet for the night because, for their safety, it was better not to go to the toilet during the night. 128 In addition to the inadequacy of toilet facilities and their terrible state, and depending on the shift, detainees were not always allowed to use them. <sup>129</sup> For instance, the Chamber heard testimony that, during the shift of another shift commander, Fuštar, sometimes by day, and always at night, detainees were not permitted to use the toilet, while during Do{en's and Kolund`ija's shifts, they were allowed to use the toilet both day and night, although Do{en advised that, for their own safety, detainees not go to the toilets at night. Witness F testified that it was very hazardous to go to the toilets during the night because one could be beaten and some never came back. 131

# (e) <u>Facilities for personal hygiene</u>

69. Witnesses testified that there were no washing facilities in Keraterm and that the detainees were, as a general rule, not able to wash themselves or their clothes, or to change. 132 As a result their clothes were filthy and smelled bad, and lice were prevalent. 133 A few witnesses testified that they would sometimes be allowed to attend to their personal hygiene, in particular, during Kolund`ija's shift. 134 Clothing and other objects in the rooms were taken out for disinfection once and, at least on one occasion, powder to eradicate lice was provided. Witness W testified that after Marinko Sipka became the commander of the camp, two or three days after the Room 3

<sup>&</sup>lt;sup>124</sup> Witness B, T. 763-64; Witness A, T. 580; Witness C, T. 892, 894-95; Witness I, T. 2049; Witness DM, T. 5081.

<sup>&</sup>lt;sup>125</sup> Witness B, T. 854-55.

<sup>&</sup>lt;sup>126</sup> Witness DO, T. 5232.

<sup>&</sup>lt;sup>127</sup> Witness D, T. 1072; Witness E, T. 1277; Witness F, T. 1398; Witness H, T. 1810.

<sup>&</sup>lt;sup>128</sup> Witness DO, T. 5232-34; Witness A, T. 586-87; Witness C, T. 895-96, 912; Jusuf Arifagi}, T. 1655; Witness L, T. 2505; Witness N, T. 2857.

<sup>&</sup>lt;sup>129</sup> Witness C, T. 895, 904; Witness D, T. 1072.

<sup>&</sup>lt;sup>130</sup> Witness A, T. 586-87; Witness DO, T. 5232-34.

<sup>&</sup>lt;sup>131</sup> Witness F, T. 1398-99; Witness M, T. 2700; Witness V, T. 3759; Witness X, T. 4028.

<sup>&</sup>lt;sup>132</sup> Witness B, T. 764; Witness D, T. 1072-73; Witness G, T. 1704.

<sup>&</sup>lt;sup>133</sup> Witness A, T. 587; Witness C, T. 910; Fikret Hidi}, T. 2337; Witness DN, T. 5145.

<sup>&</sup>lt;sup>134</sup> Witness A, T. 629-30; Witness B, T. 764; Witness E, T. 1277; Witness DK, T. 4816.

<sup>&</sup>lt;sup>135</sup> Witness C, T. 983; Witness D, T. 1134; Witness P, T. 3216.

massacre, the living conditions improved for that last week. The detainees were then allowed to clean their rooms, have baths or even shave. 136

# 2. Food conditions

- 70. The Trial Chamber heard evidence that the detainees were fed a starvation ration of food of poor quality once a day, while on some occasions they received no food at all, either at the beginning, because the distribution of food had not yet been organised, <sup>137</sup> or because there was not enough food for everyone. 138 The detainees from the Brdo area who arrived around 20 July 1992 were not given any food for several days, although Witness B testified that Kolund`ija, during his shift on the eve of the massacre, allowed him to give them bread. 139 The food, brought from outside, was served in the afternoon, between 3 and 6 p.m., in front of Rooms 1 and 2.140 It consisted of hot water with sometimes a cabbage leaf, a little bit of potato or beans, or some bones, and two very thin slices of bread. 141 As the number of detainees increased, the amount of food detainees received decreased. 142 There was never enough food for everyone, and detainees from the last room to be served would not get anything. 143 There was no order of distribution, and every second day a different room would be served first. 144
- 71. In addition to the inadequacy of the food, the detainees were not always allowed enough time to eat their meagre portion. The detainees were given only several minutes in which to eat their ration, or else they would be beaten. 145 Detainees were also beaten while lining up for food, as testified by Fikret Hidi}, who saw a guard beating an imam who had heart problems. 146
- There is evidence that some detainees were occasionally allowed to receive food from 72. outside, especially during Do{en and Kolund`ija's shifts. 147 Witnesses E and X also testified that

<sup>&</sup>lt;sup>136</sup> Witness W, T. 3888-89.

<sup>&</sup>lt;sup>137</sup> Witness A, T. 587; Witness B, T. 764; Witness DG, T. 4612.

<sup>&</sup>lt;sup>138</sup> Witness I, T. 2049-50; Witness DB, T. 4454-55.

<sup>&</sup>lt;sup>139</sup> Witness B, T. 784-85; Witness A, T. 637; Witness H, T. 1811; Fikret Hidi}, T. 2359; Witness L, T. 2505; Hajrudin Zubovi}, T. 2578; Witness N, T. 2843; Witness P, T. 3131-32; Witness R, T. 3315-16; Salko Saldumovi}, T. 3482-83; Witness W, T. 3888, 3949.

<sup>&</sup>lt;sup>140</sup> Witness I, T. 2049; Hajrudin Zubovi}, T. 2557; Witness P, T. 3195; Witness DB, T. 4452.

<sup>&</sup>lt;sup>141</sup> Witness A, T. 591-92; Witness C, T. 907; Witness D, T. 1072-73; Witness F, T. 1399; Jusuf Arifagi}, T. 1570-71; Witness G, T. 1704; Witness I, T. 2049-50; Hajrudin Zubovi}, T. 2585; Witness P, T. 3195-96; Witness DB, T. 4454; Witness DN, T. 5132-34.

<sup>&</sup>lt;sup>142</sup> Witness D, T. 1072-73; Witness DB, T. 4456; Witness DN, T. 5132-34.

<sup>&</sup>lt;sup>143</sup> Witness F, T. 1399; Witness DB, T. 4454-55.

<sup>&</sup>lt;sup>144</sup> Witness C, T. 907-08; Witness F, T. 1400; Witness DO, T. 5235.

<sup>&</sup>lt;sup>145</sup> Witness A, T. 592; Witness C, T. 908-09; Witness D, T. 1087-88; Jusuf Arifagi}, T. 1571.

<sup>&</sup>lt;sup>146</sup> Witness F, T. 1511; Jusuf Arifagi}, T. 1583; Fikret Hidi}, T. 2354-55.

Witness A, T. 684-85; Witness B, T. 805; Witness C testified that a month after his arrival, he was allowed by Sikirica to keep a bag of food brought to him by his family, T. 914-16; Witness DA, T. 4432; Witness DG, T. 4606; Witness DJ, T. 4744; Witness DL, T. 4980; Husein Gani J, T. 5050; Witness DM, T. 5085-86; Witness DN, T. 5136-37; Witness DO, T. 5234.

Kolund`ija tried to give the detainees leftovers from the guards. <sup>148</sup> As a result of the inadequate food supply, the detainees each lost, on average, 20 kilograms in weight. 149

# 3. Water conditions

73. The Trial Chamber heard testimony that the detainees had limited access to water, if at all. There was no water in the camp - or through the Prijedor water system. In the early days of the camp, the water for industrial use was supplied by a hose, <sup>150</sup> and was not of drinking quality, and so made them sick. 151 Detainees from the Brdo area had no access to water during the first days of their detention in Room 3. 152 At times, however, detainees had access to drinking water, which was brought in by truck. 153 They were allowed to fill bottles and to take them back to their room, mostly during Kolund`ija's or Došen's shifts. 154 Shift commanders organised the distribution of water. 155

# 4. Access to medical care

For most of the time medical treatment was not available to the detainees. 156 Furthermore, 74. detainees who were injured as a result of beatings often did not dare to ask for medical care. 157 Some witnesses, however, received medical attention or were taken to the hospital or witnessed others being treated. 158 Witnesses testified that Do{en helped them or other detainees to get medical treatment or obtained medicine. 159 Husein Gani testified that his son asked Drasko Do (en, Damir Do{en's brother, for help and Dr. Barud`ija intervened at their request. Seventeen persons were taken to the hospital. 160 Witness F, who received medical attention after Do{en noticed during his shift that "many detainees were half-alive", testified that he was taken to the hospital in early July for 10 days before being brought back to the camp (five other people were taken to the hospital

<sup>160</sup> Husein Gani }, T. 5070-71.

<sup>&</sup>lt;sup>148</sup> Witness E, T. 1346; Witness X, T. 4118; the second time Kolund`ija attempted to give the detainees leftovers, guards came and threw all the food away, T. 4118.

<sup>&</sup>lt;sup>9</sup> Witness A, T. 592; Witness C, T. 907; Witness E, T. 1279; Witness F, T. 1401; Jusuf Arifagi}, T. 1601; Witness H, T. 1818-19; Fikret Hidi}, T. 2377; Hajrudin Zubovi}, T. 2585; Witness M, T. 2712; Witness P, T. 3141; Witness R, T. 3350; Witness DN, T. 5181-82; Witness DO, T. 5258.

<sup>&</sup>lt;sup>150</sup> Witness A, T. 724-25; Witness B, T. 764; Witness C, T. 910.

<sup>&</sup>lt;sup>151</sup> Witness C, T. 1004; Witness E, T. 1277-79; Witness F, T. 1399-401; Witness G, T. 1753-54.

<sup>&</sup>lt;sup>152</sup> Witness A, T. 637-38; Witness L, T. 2505.

<sup>&</sup>lt;sup>153</sup> Witness A, T. 724-25; Witness C, T. 910, 976; Witness DB, T. 4455; Ranko Do{en, T. 4907, 4939-40.

<sup>&</sup>lt;sup>154</sup> Witness A, T. 724; Witness B, T. 764, 825-27; Witness G, T. 1754; Witness I, T. 2086; Ranko Do{en, T. 4907-08; Witness DN, T. 5135-36.

<sup>&</sup>lt;sup>155</sup> Witness F, T. 1408-09; Witness DK, T. 4816.

<sup>&</sup>lt;sup>156</sup> Witness G, T. 1706.

<sup>&</sup>lt;sup>157</sup> Witness E, T. 1260.

<sup>&</sup>lt;sup>158</sup> Witness G, T. 1706, 1754; Witness I, T. 2088; Witness P, T. 3216; Salko Saldumovi}, T. 3479, 3497; Witness DM, T. 5089-90; Witness DN, T. 5145.

<sup>&</sup>lt;sup>159</sup> Witness B, T. 825-27; Witness E, T. 1331-33; Witness F, T. 1495-96; Witness H, T. 1888; Witness W, T. 3910; Witness DO, T. 5246.

at the same time).<sup>161</sup> Witness W testified that he requested some medical assistance for his leg and was taken to the hospital by Do{en and Sikirica, who waited for him outside.<sup>162</sup> Jusuf Arifagi} was taken to the Prijedor hospital, with Do{en's help, together with six or seven injured prisoners.<sup>163</sup> Jusuf Arifagi}, however, also testified that he was denied medical treatment at the hospital and he spent the rest of his time at Keraterm with broken fingers.<sup>164</sup> Witness R also testified that on 4 August he was taken to the hospital in Prijedor, together with 25 persons, who were escorted by Sikirica.<sup>165</sup> Witness D testified that a doctor came to the camp at least once.<sup>166</sup>

# 5. Daily life in the camp

- 75. Witnesses gave evidence as to the daily routine of life in the camp. Detainees were mostly not locked in the rooms during the day, and were able to go outside or walk around, depending on the shift. Witness A testified that during Kolund`ija and Do{en's shifts, prisoners were often allowed to spend a lot of time in the open air, and to play cards. When the other shift was on duty, the detainees were not allowed to move around as freely. The detainees from the Brdo area were not usually allowed to go out of Room 3 during any of the shifts, other than Kolund`ija's. 169
- 76. The Trial Chamber heard testimony that each shift commander had a key to the rooms and could lock them at will. The doors of the rooms were usually locked at dusk, especially under Do{en's and Kolund`ija's shifts. The guards mostly walked around the camp. Do{en and Kolund`ija would come to the rooms to talk to detainees they knew. The guards mostly walked around the camp.
- 77. The detainees in Keraterm were sometimes allowed to meet visitors or receive packages with food or clothes, particularly during Do{en and Kolund`ija's shifts. Do{en would also give them cigarettes. 173

<sup>173</sup> Witness DN, T. 5144-45.

<sup>&</sup>lt;sup>161</sup> Witness F, T. 1427-29, 1516-17; Witness D, T. 1086-87; Witness S, T. 3668-69.

<sup>&</sup>lt;sup>162</sup> Witness W, T. 3886-87.

<sup>&</sup>lt;sup>163</sup> Jusuf Arifagic, T. 1564.

<sup>&</sup>lt;sup>164</sup> Jusuf Arifagic, T. 1569, 1612.

<sup>&</sup>lt;sup>165</sup> Witness R, T. 3351-52.

<sup>&</sup>lt;sup>166</sup> Witness D, T. 1134; Witness DO, T. 5246.

<sup>&</sup>lt;sup>167</sup> Witness A, T. 630; Witness B, T. 825-27; Witness C, T. 994-95; Witness M, T. 2800-02; Senad Kenjar, T. 3591, 3610; Witness DN, T. 5185-86.

<sup>&</sup>lt;sup>168</sup> Witness D, T. 1173; Witness P, T. 3179; Senad Kenjar, T. 3591; Witness W, T. 3910, 3940-41.

<sup>&</sup>lt;sup>169</sup> Witness A, T. 637-38; Witness H, T. 1811; Witness L, T. 2505; Witness M, T. 2704; Witness N, T. 2838-40; Witness P, T. 3131-32.

<sup>&</sup>lt;sup>170</sup> Witness A, T. 709-10, 736-37; Witness F, T. 1408-09, 1411.

<sup>171</sup> Witness G, T. 1755; Witness DN, T. 5141-42, 5154; Witness DO, T. 5243-44; Witness DR, T. 5566.

<sup>&</sup>lt;sup>172</sup> Witness F, T. 1502; Witness I, T. 2089-90; Witness K, T. 2258-59; Fikret Hidi}, T. 2370-77; Witness M, T. 2800; Witness O, T. 3021-23; Witness W, T. 3944; Witness DG, T. 4606, 4610-11; Witness DJ, T. 4744; Ranko Do{en, T. 4908-09; Husein Gani}, T. 5050; Witness DM, T. 5085-86; Witness DN, T. 5136-37, 5155; Witness DO, T. 5234; Witness DP, T. 5270-74; Witness DR, T. 5565.

78. The Chamber also heard testimony that, in the early days of the camp, one group of detainees who had volunteered for tasks outside the camp were picked up daily by a truck and came back in a good mood and were never hungry, 174 while some other volunteers never returned. 175

# C. Interrogations

- 79. Most witnesses testified to having been interrogated at least once while in the Keraterm camp. The first detainees to arrive in Keraterm on 31 May 1992, as well as other detainees who arrived later, were interrogated within 8 to 12 days of their arrival. Others who arrived around the same time were interrogated several weeks later. 177
- 80. Witness B testified that most of the detainees interrogated were from Puharska. There is some evidence that, at some point, a few weeks after the camp was opened, detainees would be interrogated according to a specific order on a list. Senad Kenjar testified that interrogations started after a guard made a list of the detainees kept in Room 2 on 15 June 1992. Some detainees, however, were never interrogated. Ante Tomic testified that none of the detainees from Ljubija, including himself, were interrogated.
- 81. After being called out from a list, the detainees were escorted by a camp guard <sup>183</sup> for interrogation in an office located above Room 1. <sup>184</sup> The interrogations took place during the day <sup>185</sup> and lasted from 15 minutes to one hour. <sup>186</sup>
- 82. The Chamber heard testimony that the interrogations were usually conducted by one or two persons, <sup>187</sup> referred to as "inspectors" or "investigators", who came from outside the camp. <sup>188</sup> Witness DJ was one of them. He testified that he would usually arrive at the camp at 8 a.m. with

<sup>&</sup>lt;sup>174</sup> Witness A, T. 612.

<sup>&</sup>lt;sup>175</sup> Witness A, T. 603; Witness C, T. 925; Witness N, T. 2840-42.

<sup>&</sup>lt;sup>176</sup> Witness A, T. 596, 680; Witness B, T. 768, 814; Witness H, T. 1792; Hajrudin Zubovi}, T. 2616; Witness P, T. 3103; Witness W, T. 3883, 3921.

<sup>&</sup>lt;sup>177</sup> Witness C, T. 906, 940; Jusuf Arifagi}, T. 1582; Witness G, T. 1730; Fikret Hidi}, T. 2351; Witness DM, T. 5083-84.

<sup>&</sup>lt;sup>178</sup> Witness B, T. 769.

<sup>&</sup>lt;sup>179</sup> Witness DG, T. 4619.

<sup>&</sup>lt;sup>180</sup> Witness O, T. 3050; Witness P, T. 3103; Senad Kenjar, T. 3535.

<sup>&</sup>lt;sup>181</sup> Witness DO, T. 5260.

<sup>&</sup>lt;sup>182</sup> Ante Tomi}, T. 1962.

<sup>&</sup>lt;sup>183</sup> Witness C, T. 906; Witness G, T. 1730; Fikret Hidi}, T. 2385; Witness V, T. 3776; Witness DM, T. 5084, 5099-100; Witness DN, T. 5177-79.

<sup>&</sup>lt;sup>184</sup> Witness A, T. 597; Witness C, T. 905; Witness F, T. 1411; Witness G, T. 1730; Witness H, T. 1792; Witness P, T. 3103

<sup>&</sup>lt;sup>185</sup> Witness C, T. 905; Witness F, T. 1411; Witness M, T. 2702.

<sup>&</sup>lt;sup>186</sup> Witness A, T. 597; Witness F, T. 1413; Fikret Hidi}, T. 2385; Witness M, T. 2745; Salko Saldumovic, T. 3482; Witness W, T. 3883.

<sup>&</sup>lt;sup>187</sup> Witness A, T. 597, 680; Witness B, T. 814; Witness H, T. 1792, 1884-85; Witness V, T. 3776.

<sup>&</sup>lt;sup>188</sup> Witness C, T. 940; Witness G, T. 1731; Witness M, T. 2745-46.

two other interrogation officers, one an individual from the State security and another from the military. They would interview between 12 to 14 detainees daily. There seemed to be three separate interrogation teams operating in Keraterm. A man named Modi} was the most frequently mentioned by the Prosecution witnesses as their interrogator. Drasko (or Dragan) Radeti} and Ranko Bucalo were cited by several other witnesses. Some detainees testified to having been interrogated by other people. Some detainees testified that these interrogators were members of the civilian police. All the interrogated detainees testified that the guards of the camp were not involved in the interrogations: they were not present during the interrogation and their role was limited to escorting the detainee from his room to the interrogation room. According to Witness C, the investigators determined which detainees they investigated.

83. It appears from the evidence presented by witnesses that the aim of the investigators was to find out which detainees had been involved in the fighting, or where they came from, and based on their answers, to categorise the detainees.<sup>197</sup> Some were asked whether they engaged in political activities, or about their families or neighbours.<sup>198</sup> Following interrogation, some detainees appear to have been considered "innocent" or not guilty.<sup>199</sup> It may be inferred from the evidence that, based on the category in which they were placed, detainees would be selected for mistreatment or for transfer to the Omarska camp. Witness M testified that the interrogators wanted to find people who were responsible for something and that, in the evening, lists were prepared and people on those lists were usually taken out.<sup>200</sup> Witness P testified that Modi} appeared to be referring to other lists of names when interrogating him.<sup>201</sup> Salko Saldumovi} testified that Došen told the new detainees in Room 2 that they should tell everything they knew when they were interrogated, otherwise they would be taken to Omarska and beaten to death until they told the truth.<sup>202</sup>

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<sup>&</sup>lt;sup>189</sup> Witness DJ, T. 4710.

<sup>&</sup>lt;sup>190</sup> Witness DJ, T. 4651.

<sup>&</sup>lt;sup>191</sup> Witness C, T. 906; Witness P, T. 3103, 3180-81; Senad Kenjar, T. 3581; Witness V, T. 3801; Witness X, T. 4063.

Witness C, T. 905-06; Witness F, T. 1388-89; Fikret Hidi}, T. 2351-53; Witness O, T. 3050; Witness W, T. 3883.
 Witness A, T. 597; Witness C, T. 905-06; Witness W, T. 3883; Husein Gani}, T. 5044; Witness DM, T. 5083-84; Witness DN, T. 5130-31.

<sup>&</sup>lt;sup>194</sup> Witness F, T. 1414, 1487-89; Witness M, T. 2702; Witness DN, T. 5130-31.

Witness C, T. 905-06; Witness F, T. 1413; Jusuf Arifagic, T. 1632; Fikret Hidi}, T. 2385; Witness M, T. 2745-46;
 Salko Saldumovic, T. 3481-82; Witness DG, T. 4609; Witness DJ, T. 4720-22, 4741.
 Witness C, T. 981.

<sup>&</sup>lt;sup>197</sup> Witness DJ, T. 4705.

<sup>&</sup>lt;sup>198</sup> Witness A, T. 597, 681; Witness B, T. 814; Witness C, T. 906, 940; Witness F, T. 1413; Witness G, T. 1705; Witness H, T. 1792; Fikret Hidi}, T. 2385; Hajrudin Zubovi}, T. 2616; Witness M, T. 2746; Witness P, T. 3103, 3181-82; Salko Saldumovic, T. 3481-82; Senad Kenjar, T. 3570-71; Witness V, T. 3776; Witness W, T. 3883, 3922; Witness X, T. 4063; Witness DG, T. 4604-05; Witness DJ, T. 4651; Witness DR, T. 5563.

<sup>&</sup>lt;sup>199</sup> Witness O, T. 3050.

<sup>&</sup>lt;sup>200</sup> Witness M, T. 2702.

<sup>&</sup>lt;sup>201</sup> Witness F, T. 1499; Witness P, T. 3103.

<sup>&</sup>lt;sup>202</sup> Salko Saldumovi}, T. 3462.

# D. Beatings and mistreatment

# 1. General atmosphere in the camp

- 84. Almost all the witnesses detained in the Keraterm camp testified to having been subjected to physical and psychological mistreatment, or to having seen others being so subjected.<sup>203</sup> The mistreatment started three to seven days after the camp was opened and went on daily. 204 Whoever wanted to beat detainees could do so as they pleased. <sup>205</sup> Beatings took place mostly at night, inside and outside the rooms, near a garbage dump, or in a room used specifically for beatings.<sup>206</sup> Detainees were usually called out by a guard.<sup>207</sup> Some were beaten and returned to the rooms. Others were killed and their bodies brought back to their room, or left on the dump and then taken away the next day. 208 The beatings were conducted by the camp guards and by visitors from outside, individually or together.
- 85. In addition to being directly subjected to mistreatment, most detainees also witnessed mistreatment being inflicted upon others. Once, Witness F had to sit for half an hour in Room 1 and watch prisoners being beaten with baseball bats, batons, rods, hands and feet. Witness N testified that, one evening, after having been locked in his room, prisoners from other rooms were beaten in front of Room 3, with terrible screams.<sup>210</sup>
- 86. This all created an atmosphere of terror, and the detainees lived in constant fear of selection for mistreatment. The effect of such mistreatment was apparent to those who visited the camp. Witness K testified that when she visited her husband in the camp, all the detainees were in an awful state and fear was evident on their faces. 211
- 87. The victims of such beatings never reported the incidents because they were afraid of the guards. 212 According to one witness, any prisoner who complained of ill-treatment was killed and thrown on the garbage dump the next day. 213

<sup>&</sup>lt;sup>203</sup> Witness M, T. 2699; Hajrudin Zubovi}, T. 2585; Ranko Do{en, T. 4914; Witness DR, T. 5572.

<sup>&</sup>lt;sup>204</sup> Witness V, T. 3806; Witness I, T. 2088; Salko Saldumovi}, T. 3480-81; Witness M, T. 2700.

<sup>&</sup>lt;sup>205</sup> Witness M, T. 2700.

<sup>&</sup>lt;sup>206</sup> Witness C, T. 913, 926; Witness F, T. 1415-16; Jusuf Arifagi}, T. 1573; Witness M, T. 2702. <sup>207</sup> Witness C, T. 913; Witness L, T. 2506, 2507; Witness DM, T. 5108.

Witness C, T. 913, Witness E, T. 2500, 2507, Witness Z, T. 4205-09, 4237-42, 4261.

209 Witness F, T. 1393-94.

210 Witness D, T. 1077; Witness N, T. 2839-40.

211 Witness K, T. 2259-60.

<sup>&</sup>lt;sup>212</sup> Witness D, T. 1164; Husein Gani}, T. 5042, 5053.

<sup>&</sup>lt;sup>213</sup> Witness G, T. 1781.

- The detainees who had been interrogated were treated slightly better. <sup>214</sup> The mistreatment 88. sometimes seems to have been inflicted on a random basis, <sup>215</sup> or for the entertainment of those inflicting it. Witness X testified that regularly, during the day, the guards would order one inmate to chase another and, if he did not catch him, he was beaten by the soldiers. The guards would also make detainees sing "Chetnik" songs. 216 At times the guards would put a dead body into a wheelbarrow and then force a prisoner to push the wheelbarrow while marching.<sup>217</sup> Witness DP testified that inmates were forced to pray in accordance with Islamic custom, even if they were Catholic. 218 At other times, it appeared that particular detainees were targeted. 219
- 89. People were often subjected to mistreatment in order to extort money from them, or to settle old scores, or to repay old debts from before the conflict.<sup>220</sup> Detainees who were labelled "extremist" seemed to receive the worst treatment. Witness B testified that, as a room leader, he was asked in mid-July, by a policeman or a soldier, to inform on two "extremists". When he replied that there were no such persons in the room, he was beaten with a police baton. This happened during the shift of Fu{tar who was present. Fu{tar then asked for a list and called out two people, whom he beat.<sup>221</sup> Some detainees were chosen for "special treatment" which meant that anybody could beat or even kill them. They were put next to the door so that they would be "available" for mistreatment at any moment. 222

# 2. Beatings by the guards

90. Guards and soldiers would come and go at the camp, regardless of the shift to which they were assigned. 223 The guards were military camouflage uniforms of different types, with the majority of them having automatic rifles while the officers carried pistols. <sup>224</sup> According to Witness M, the behaviour of the regular guards was "beastly"; they were sometimes drunk and could behave as they pleased with the detainees if there was no one there to stop them. 225 The Trial Chamber heard numerous accounts of beatings perpetrated by non-identified guards.

<sup>&</sup>lt;sup>214</sup> Witness C, T. 888, 952.

<sup>&</sup>lt;sup>215</sup> Witness P, T. 3100; Witness V, T. 3759.

<sup>&</sup>lt;sup>216</sup> Witness X, T. 4022; Witness DJ, T. 4679.

<sup>&</sup>lt;sup>217</sup> Witness X, T. 4105.

<sup>&</sup>lt;sup>218</sup> Witness DP, T. 5286.

<sup>&</sup>lt;sup>219</sup> Witness P, T. 3099, 3102; Salko Saldumovi}, T. 3502-03.

<sup>&</sup>lt;sup>220</sup> Witness B, T. 816; Witness N, T. 2838-40; Salko Saldumovi}, T. 3490; Witness S, T. 3654, 3657; Witness DM, T.

<sup>&</sup>lt;sup>221</sup> Witness B, T. 773-74, 842-48.

<sup>&</sup>lt;sup>222</sup> Witness G, T. 1701-03; Witness DP, T. 5283-87.

<sup>&</sup>lt;sup>223</sup> Witness M, T. 2815; Witness S, T. 3654-55.

<sup>&</sup>lt;sup>224</sup> Senad Kenjar, T. 3585.

<sup>&</sup>lt;sup>225</sup> Witness F, T. 1394; Witness M, T. 2818; Jusuf Arifagi}, T. 1651.

- 91. Fikret Avdi}, a waiter, had worked at a bar near the railway station in Prijedor. He was called out at night, around 18 to 20 July 1992, and beaten. He was brought back in front of Room 2 and the inmates were told to carry him in. He was very badly injured, unconscious, and was vomiting blood. He died the following morning. His body was taken beyond Room 4, to the place where the bodies were kept before being taken away.<sup>226</sup>
- 92. On 23 June 1992, Jovo Rado~aj, a Serb, was brought in during the Banovi} brothers' shift. According to Witness E, ^upo Banovic called him out and beat him with a baseball bat, while the other guards shouted: "That is how we punish Serb traitors." Witness I said that Banovic told Jovo Rado~aj: "You, a Serb, joining the SDA. You should be scalded like a sheep for Bajram." Moans and screams of pain were heard. When he was returned to a room, Jovo Rado~aj was covered in blood, marks and bruises, and died in the early morning. When a new shift started, the bodies of Jovo Rado~aj and one or two others were moved to the waste dump. Jovo Rado~aj's body was taken away and buried in the cemetery. 229
- 93. Witness H testified that, a few days before the closure of the camp, as he went to fill a bottle with water, ^upo Banovic asked him if he knew that he had to pay for the water. He then took off his shoes and hit Witness H on the head with them, breaking his nose. As Došen was approaching the camp on his motorcycle, Banovic took a knife and attempted to stab Witness H in his left side. Witness H protected himself with his arm, and received a cut of approximately 10 to 13 centimetres.<sup>230</sup> Witness H thinks that Došen's arrival saved his life.<sup>231</sup>
- 94. Other witnesses gave evidence of beatings. Among those were Witness A,<sup>232</sup> Witness B,<sup>233</sup> Witness C,<sup>234</sup> Witness W<sup>235</sup> and Fikret Hidi}.<sup>236</sup>

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<sup>&</sup>lt;sup>226</sup> Witness F, T. 1424; Witness H, T. 1805-06, 1845-46, 1895; Salko Saldumovi}, T. 3465; Senad Kenjar, T. 3583-85, 3541.

<sup>&</sup>lt;sup>227</sup> Witness E, T. 1250.

<sup>&</sup>lt;sup>228</sup> Witness I, T. 2130-31, as corrected.

<sup>&</sup>lt;sup>229</sup> Witness B, T. 775-77; Witness D, T. 1129-30; Witness E, T. 1250-52; Ante Tomic, T. 1961-62; Witness I, T. 2050-51, 2086, 2118; Witness Z, T. 4213; Witness DP, T. 5274, 5294-95.

<sup>&</sup>lt;sup>230</sup> Witness H, T. 1816-17.

<sup>&</sup>lt;sup>231</sup> Witness H, T. 1817, 1903.

<sup>&</sup>lt;sup>232</sup> Witness A, T. 613–16, 685.

<sup>&</sup>lt;sup>233</sup> Witness B, T. 816.

<sup>&</sup>lt;sup>234</sup> Witness C, T. 926–28, 986–87.

<sup>&</sup>lt;sup>235</sup> Witness W, T. 3880, 3928.

<sup>&</sup>lt;sup>236</sup> Fikret Hidi}, T. 2338–40, 2342–50.

# 3. Beatings by visitors

- Visitors came mostly at night, armed with guns, and they did as they pleased. 237 Among 95. them were Zoran @igic, Du{an (Du{ko) Kne`evic (nicknamed Du}a), Goran Laji}, and Faca. 238 @igi} and Du}a did not follow anyone's orders and did what they wanted. 239
- 96. Among the visitors, @igic, who would come to beat people regardless of which shift was on duty, inspired the greatest fear. <sup>240</sup> @igic used to spend a lot of time trying to extort money from detainees. 241 Witness Z testified that in the summer of 1992 he heard @igi} talk of how he would go to Keraterm and Omarska to beat the "Turks," or "balijas". 242 @igi} had a "special team", set up for the "special treatment" of certain detainees. 243
- 97. One day, Kne'evi} came and said his brother or cousin had been killed. He ordered everyone from Kozarac to come out. About 22 people came out, were lined up on the pista in front of Rooms 1 and 2, told to kneel down and, in this position, to go back and forth several times to the small hut. As the ground was covered with gravel, their knees and palms of their hands became bloody. After that, they were ordered to form two columns facing one another, and to kneel down. @igi} hit people on the back with a metal rod, while another soldier would hit them all in the face or the neck. @igi} then told them to stand up and fight with the person opposite them, otherwise they would be beaten. When Witness G's turn came, Došen arrived and told @igi} to stop and asked the detainees to go back to Room 2.<sup>244</sup>
- 98. Around 13 or 14 June 1992, Du}a and others beat prisoners from Room 3. The other prisoners, locked in their respective rooms, could hear what was going on outside. The people from Room 3 were screaming with pain from the injuries sustained. <sup>245</sup> Du}a would also pick out two prisoners and force them to beat each other. 246 Witness D testified to having been beaten by Du}a and others with rubber batons, at night. After he called out to Do{en for help, the beating stopped, and Do{en, who came over, ordered the guards to stop. 247

<sup>&</sup>lt;sup>237</sup> Witness M, T. 2818-19; Witness DM, T. 5085; Witness DO, T. 5229.

<sup>&</sup>lt;sup>238</sup> Witness A, T. 680; Witness B, T. 775-78; Witness E, T. 1251-52; Witness F, T. 1391; Witness G, T. 1707; Witness H, T. 1802; Witness M, T. 2702-03, 2824; Witness P, T. 3136-37; Witness S, T. 3654; Witness DN, T. 5214.

<sup>&</sup>lt;sup>239</sup> Witness A, T. 711. <sup>240</sup> Witness G, T. 1707, 1760; Witness V, T. 3807; Witness X, T. 4026.

<sup>&</sup>lt;sup>241</sup> Witness W, T. 3907, 3878-79, 3923-27.

<sup>&</sup>lt;sup>242</sup> Witness Z, T. 4215-16; Witness DO, T. 5241. <sup>243</sup> Witness B, T. 775-76; Witness E, T. 1294; Witness F, T. 1700-01.

<sup>&</sup>lt;sup>244</sup> Witness G, T. 1707-09, 1729; Witness DP, T. 5284-86. <sup>245</sup> Ante Tomi }, T. 1955-56.

<sup>&</sup>lt;sup>246</sup> Ante Tomic, T. 1956.

<sup>&</sup>lt;sup>247</sup> Witness D, T. 1085-88, 1191.

- 99. Rapes were also committed in the Keraterm camp. One woman told Witness K that she had been raped in an office at Keraterm by Nedeljko Timarac, and then by other men in turn, all night long. She was then taken outside and told to sit on a rock. At one point a guard walked by and kicked her.<sup>248</sup>
- 100. Other witnesses testified as to beatings by visitors to the camp. Among those were Witness A,  $^{249}$  Witness B,  $^{250}$  Witness E $^{251}$  and Witness V. $^{252}$

# E. The Room 3 massacre

- 101. In the afternoon and evening of 24 July 1992, many more soldiers could be seen in the Keraterm camp than was customary. One witness testified that the soldiers were wearing the uniform of the former Yugoslav People's Army (JNA) and that, prior to that time, he had not seen any of the guards in the camp wearing that uniform. Those who arrived in the camp that afternoon were not regular Keraterm guards. A machine-gun was placed on a desk or table in front of Room 3 sometime before the massacre took place.
- 102. At around 3 or 4 p.m., the Room 3 detainees were put back in the room and the doors were locked. Soon thereafter, some gas was thrown into the room. Some of the prisoners attempted to break down the door and it was then that the soldiers responded with a burst of gunfire. According to several witnesses who survived the Room 3 massacre, there were repeated bursts of gunfire. Several people ahead of Witness N, who was near the door in Room 3, were hit with bullets and three or four of them fell on top of him. As Witness N put it: "Misfortune was my luck, because these bullets they were absorbing these bullets that were being fired from outside. They were bouncing from the bullets that were hitting them. It was horrible. It was like being in hell, a night in hell."

<sup>&</sup>lt;sup>248</sup> Witness K, T. 2267-72.

<sup>&</sup>lt;sup>249</sup> Witness A, T. 593-96, 677-78.

<sup>&</sup>lt;sup>250</sup> Witness B, T. 775-76.

<sup>&</sup>lt;sup>251</sup> Witness E, T. 1247-48, 1257-60.

<sup>&</sup>lt;sup>252</sup> Witness V, T. 3818, 3753–55.

<sup>&</sup>lt;sup>253</sup> Witness B, T. 861-62; Witness F, T. 1431; Witness L, T. 2509; Hajrudin Zubovi}, T. 2582; Witness M, T. 2810; Senad Kenjar, T. 3593; Witness S, T. 3624, 3679–80; Witness X, T. 4060.

<sup>&</sup>lt;sup>254</sup> Witness W, T. 3948-49.

<sup>&</sup>lt;sup>255</sup> Witness A, T. 639.

<sup>&</sup>lt;sup>256</sup> Witness A, T. 639-40; Witness F, T. 1431; Witness G, T. 1771; Hajrudin Zubovi}, T. 2582; Witness M, T. 2706; Witness R, T. 3327-28; Witness S, T. 3627; Witness V, T. 3779-81.

<sup>&</sup>lt;sup>257</sup> Witness L, T. 2535.

<sup>&</sup>lt;sup>258</sup> Witness H, T. 1812; Witness L, T. 2511; Witness N, T. 2862; Witness R, T. 3333-35.

<sup>&</sup>lt;sup>259</sup> Witness R, T. 3334-35; Witness DJ, T. 4669; Witness DN, T. 5164.

<sup>&</sup>lt;sup>260</sup> Witness R, T. 3335; Witness L, T. 2512-14; Witness B, T. 788-90; Witness DN, T. 5164-67.

<sup>&</sup>lt;sup>261</sup> Witness N, T. 2862.

around the room.<sup>262</sup> In addition to these accounts of the massacre, there was abundant evidence from witnesses who were detained elsewhere in the camp that night. 263

The dead and wounded, approximately 160 to 200 men in total, were taken away in a truck.<sup>264</sup> None of those whose bodies were removed that morning were ever seen again.<sup>265</sup>

<sup>&</sup>lt;sup>262</sup> Witness N, T. 2863-64.

<sup>&</sup>lt;sup>263</sup> Witness C, T. 921-23; Witness E, T. 1263; Jusuf Arifagi}, T. 1588-89; Witness G, T. 1768-69; Witness H, T. 1812; Fikret Hidi}, T. 2362, 2367; Hajrudin Zubovi}, T. 2583; Witness M, T. 2707–08; Witness S, T. 3626–27; Witness L, T.

<sup>2516;</sup> Witness R, T. 3335-36.

264 Witness B, T. 791; Jusuf Arifagi}, T. 1591; Witness L, T. 2517; Witness H, T. 1813-15; Witness C, T. 924-25; Witness M, T. 2709; Witness N, T. 2869-70; Witness V, T. 3760.

265 Witness B, T. 791; Witness L, T. 2517-18; Hajrudin Zubovi}, T. 2583; Witness N, T. 2870; Witness R, T. 3336-38.

# IV. SENTENCING

# A. Applicable Law

104. Those provisions of the Statute and Rules which pertain to sentencing are set forth below:

#### Article 24

#### **Penalties**

- 1. The penalty imposed by the Trial Chamber shall be limited to imprisonment. In determining the terms of imprisonment, the Trial Chambers shall have recourse to the general practice regarding prison sentences in the courts of the former Yugoslavia.
- 2. In imposing the sentences, the Trial Chambers should take into account such factors as the gravity of the offence and the individual circumstances of the convicted person.
- 3. In addition to imprisonment, the Trial Chambers may order the return of any property and proceeds acquired by criminal conduct, including by means of duress, to their rightful owners.

#### **Rule 101**

#### **Penalties**

- (A) A convicted person may be sentenced to imprisonment for a term up to and including the remainder of the convicted person's life.
- (B) In determining the sentence, the Trial Chamber shall take into account the factors mentioned in Article 24, paragraph 2, of the Statute, as well as such factors as:
  - (i) any aggravating circumstances;
  - (ii) any mitigating circumstances including the substantial cooperation with the Prosecutor by the convicted person before or after conviction;
  - (iii) the general practice regarding prison sentences in the courts of the former Yugoslavia;
  - (iv) the extent to which any penalty imposed by a court of any State on the convicted person for the same act has already been served, as referred to in Article 10, paragraph 3, of the Statute.
- (C) Credit shall be given to the convicted person for the period, if any, during which the convicted person was detained in custody pending surrender to the Tribunal or pending trial or appeal.

# B. Sentencing Factors

# 1. General factors

105. The Trial Chamber has recently set out, in the *Todorovi*} case, <sup>266</sup> the factors to be taken into account in determining the sentence for an individual accused. These include the gravity of the crime, and any mitigating circumstances, as well as the general practice regarding prison sentences in the courts of the former Yugoslavia.

# (a) Gravity of the crime

106. Article 24 of the Statute requires a Trial Chamber, in determining an appropriate sentence, to take into account, *inter alia*, the gravity of the offence. As the Appeals Chamber reiterated in the ^*elebi}i* Appeal Judgement, "the gravity of the offence is the primary consideration in imposing sentence." <sup>267</sup> The Appeals Chamber also stated that:

The determination of the gravity of the crime requires a consideration of the particular circumstances of the case, as well as the form and degree of the participation of the accused in the crime.  $^{268}$ 

- 107. In order to be in a position to evaluate the gravity of the offences committed by each of the accused, and in light of the fact that each conviction rests upon a plea of guilt, it behoves the Trial Chamber to set forth the details of the criminal conduct underlying each of the convictions<sup>269</sup> and to consider the aggravating factors. This is done below.
- 108. In relation to any aggravating circumstances, the Trial Chamber observes that the Appeals Chamber in the *^elebi}i* case held that "only those matters which are proved beyond reasonable doubt against an accused may be the subject of an accused's sentence or taken into account in aggravation of that sentence." <sup>270</sup>

#### (b) Mitigating circumstances

109. Rule 101 (B)(ii) of the Rules provides that the Trial Chamber, in determining sentence, shall take into account "any mitigating circumstances including the substantial cooperation with the Prosecutor by the convicted person before or after conviction."

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<sup>&</sup>lt;sup>266</sup> Prosecutor v. Stevan Todorovi}, Case No. IT-95-9/1-S, Sentencing Judgement, 31 July 2001 ("Todorovi} Sentencing Judgement").

Prosecutor v. Delali} et al., Case No. IT-96-21-A, Judgement, 20 Feb. 2001 ("^elebi}i Appeal Judgement"), para. 731; see also Todorovi} Sentencing Judgement, para. 31.

<sup>&</sup>lt;sup>268</sup> ^elebi}i Appeal Judgement, para. 731.

As set out in the relevant Plea Agreements.

<sup>&</sup>lt;sup>270</sup> ^elebi}i Appeal Judgement, para. 763.

- 110. Unlike aggravating circumstances, which must be proved beyond a reasonable doubt, mitigating circumstances need only be established on the balance of probabilities.<sup>271</sup>
- 111. An accused's "substantial" cooperation with the Prosecutor is the only mitigating circumstance which a Trial Chamber is obliged, by the Rules, to consider. In the *Todorovi*} case the Trial Chamber held that the determination as to whether an accused's cooperation has been substantial "depends on the extent and quality of the information he provides." The Trial Chamber has heard and considered submissions on cooperation in this case. However, the Chamber concluded that they were not of sufficient substance as to affect its decision.

# (c) The general practice regarding prison sentences in the courts of the former Yugoslavia

- 112. Article 24, paragraph 1, of the Statute and Rule 101 (B)(iii) of the Rules require the Trial Chamber, in determining sentence, to take into account the general practice regarding prison sentences in the courts of the former Yugoslavia. The Appeals Chamber has interpreted this provision to mean that, while a Trial Chamber must consider the practice of courts in the former Yugoslavia, its discretion in imposing sentence is not bound by such practice.<sup>273</sup>
- 113. In this regard, the Trial Chamber has had reference to the relevant provisions of the Criminal Code of the Socialist Republic of Yugoslavia ("SFRY Criminal Code").
- 114. Article 142 thereof provides for a punishment ranging from five years' imprisonment to the death penalty for anyone who

orders that civilian population be subject to killings, torture, inhuman treatment ... immense suffering or violation of bodily integrity or health; dislocation or displacement ... unlawful bringing in concentration camps and other illegal arrests and detention ... or who commits one of the foregoing acts . . .

- 115. Article 38 of the SFRY Criminal Code provides that, where criminal acts are eligible for the death penalty, the court may impose a maximum sentence of 20 years' imprisonment in lieu thereof.
- 116. In sum, the Trial Chamber finds that, under the penal provisions in effect in the former Yugoslavia at the relevant time, the crime of persecution of which each of the accused stand convicted, would have attracted a sentence of between 5 and 20 years' imprisonment.

<sup>&</sup>lt;sup>271</sup> Prosecutor v. Kunarac et al, Case No. IT-96-23-T and IT-96-23/1-T, Judgement, 22 Feb. 2001 ("Kunarac Judgement") para. 847, referred to in Do{en Sentencing Brief, para. 23.

<sup>&</sup>lt;sup>272</sup> *Todorovi* Sentencing Judgement, para. 86.

<sup>273</sup> Prosecutor v. Du{ko Tadi}, Case No. IT-94-1-A and IT-94-1-A bis, Judgement in Sentencing Appeals, 26 Jan. 2000 ("Tadi} Sentencing Appeals Judgement"), para. 21.

#### 2. Factors relating to each of the accused

117. While Du{ko Sikirica, Damir Do{en and Dragan Kolund`ija have each pleaded guilty to the single crime of persecution as a crime against humanity, the various means through which it is agreed that this crime was perpetrated vary with respect to each one. In addition, the aggravating and mitigating circumstances will vary for each. Accordingly, the gravity of the offence and the mitigating factors will be addressed for each accused in turn.

#### (a) Du{ko Sikirica

# (i) The gravity of the crime

#### a. <u>Criminal conduct forming basis for conviction</u>

118. Du{ko Sikirica arrived at Keraterm as Commander of Security on or about (but not before) 14 June 1992 and his duties continued until on or about 27 July 1992. 274 Although Sikirica carried out some administrative acts, 275 he did not have any role in the effective administration of Keraterm, which was conducted from Prijedor II police station by Živko Kneževic under the general authority of Simo Drljaca of the Crisis Staff. 276 Sikirica did not hold any rank and was of the same seniority as those in respect of whom he was "first among equals", by virtue of the duties assigned to him by Živko Kneževic. The role assigned to him gave him only very limited authority over his equally ranked reserve police colleagues and, although he had the authority to report incidents or people to Živko Kneževic, he did not himself have power to punish any person subordinate to him.<sup>277</sup> As Commander of Security, Sikirica was not responsible for ensuring adequate food, clothing, water, medical assistance and satisfactory accommodation (including sanitary arrangements). He did have the power to permit family or friends to give food to a detainee, and also had other discretionary powers. <sup>278</sup>

The evidence which indicated his position was as follows.<sup>279</sup> Some inmates testified that 119. they were told that Sikirica was senior to the shift commanders.<sup>280</sup> whereas others explained that Sikirica had introduced himself to them, informing them of his position.<sup>281</sup> Other detainees learnt

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<sup>&</sup>lt;sup>274</sup> Sikirica Plea Agreement, p. 5; Witness B, T. 761-62; Witness C, T. 897; Witness E, T. 1291-93.

<sup>&</sup>lt;sup>275</sup> For example, recording the names of those being detained at Keraterm or reporting to Živko Kneževic on the attendance of or requests for leave of guards. Sikirica Plea Agreement, p. 8.

<sup>&</sup>lt;sup>276</sup> Ibid. <sup>277</sup> Ibid.

<sup>&</sup>lt;sup>278</sup> *Ibid.* 

<sup>&</sup>lt;sup>279</sup> There was documentary evidence regarding Sikirica's position: an "Official Note", dated 4 July 1992, was compiled by Sikirica as "Commander of Keraterm Security": Exh. D40/1 and Exhs D45/1, D46/1. <sup>280</sup> Witness B, T. 753.

<sup>&</sup>lt;sup>281</sup> Witness E, T. 1275, 1294; Witness J, T. 2263-64.

of Sikirica's position from fellow detainees and simply by observing how he was addressed in the camp. Sikirica organised matters in the camp. He was present in the camp every day from 7 a.m. until about 4 p.m. He wore a military uniform<sup>283</sup> and usually sat in the weigh hut just outside the main building, where the new detainees would arrive.<sup>284</sup> There, Sikirica took down the names of the newly arrived inmates.<sup>285</sup> Sikirica would also receive visiting civilian persons and escort them around the camp.<sup>286</sup> Whenever something of importance was needed the inmates would go to Sikirica rather than to the shift commanders.<sup>287</sup>

#### i. Murder

120. Sikirica has admitted to the murder, by shooting, of one unnamed detainee near the lavatories at Keraterm. Three witnesses gave evidence about this incident. Salko Saldumovi} was on his way to the toilet when he saw Sikirica talking to a young man. Ten minutes later, on his way back, he saw Sikirica fire a shot at the man's head. Salko Saldumovic returned quickly to the toilet and stayed there for another 10 to 15 minutes; when he left, he saw the man lying on the ground, and Sikirica was gone. Salko Saldumovic saw Sikirica from behind and in profile and was 2 to 3 metres away from him when he delivered the shot. The second witness was Senad Kenjar who, when returning from the toilet, saw a man lying on the ground and Sikirica standing next to him. The witness ran past them back to his room; later he was told that the man had been killed by Sikirica. Salva a man lying on the ground and Sikirica standing next to him.

121. With regard to the Room 3 massacre, there is no evidence that Sikirica was present at the Keraterm camp during the build-up to the massacre or that he knew that it was about to take place. Nor is there evidence that he was present during the commission of this incident or participated in it in any way.<sup>291</sup> Sikirica admits that, as Commander of Security, he was under a technical duty to

<sup>291</sup> Sikirica Plea Agreement, p. 7.

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<sup>&</sup>lt;sup>282</sup> E.g., Witness N, T. 2884; Salko Saldumovic, T. 3454; Witness W, T. 3880-81; Witness X, T. 4013.

<sup>&</sup>lt;sup>283</sup> Witness E, T. 1275; Witness F, T. 1405.

<sup>&</sup>lt;sup>284</sup> Witness A, T. 604, 611; Witness B, T. 821. Most of the detainees arrived during daytime: Witness F, T. 1421.

<sup>&</sup>lt;sup>285</sup> Hajrudin Zubovic, T. 2563-64; Witness M, T. 2689-90, 2724-25.

<sup>&</sup>lt;sup>286</sup> Witness F, T. 1406. Jusuf Arifagic testified that he thinks that he saw Sikirica accompanying Drljaca and other dignitaries in the camp: T. 1592-93.

<sup>&</sup>lt;sup>287</sup> Witness B, T. 822-24. There was evidence that Sikirica took inmates to the doctor at Prijedor Hospital on 4 August: Witness R, T. 3352-57.

<sup>&</sup>lt;sup>288</sup> Sikirica Plea Agreement, p. 5.

<sup>&</sup>lt;sup>289</sup> Salko Saldumovic, T. 3455-56, 3486-88.

<sup>&</sup>lt;sup>290</sup> The witness stated that he heard it from either D' evad Kulasic or Salko Saldumovic, who had said: "I've just seen a man being killed"; Senad Kenjar, T. 3547. While there is no further evidence to indicate whether this is the same incident to which Salko Saldumovi} referred, there is a coincidence of time, place and type of incident to allow an inference to be drawn that it is. The third witness to this incident was Witness H, T. 1809-10, 1839-45.

prevent the entry to the camp of those persons from outside the camp, who came in, armed with machine-guns and other automatic weapons, and perpetrated the massacre.<sup>292</sup>

122. Sikirica admits that there is considerable evidence concerning the murder and killing of other named individuals at Keraterm during the period of his duties.<sup>293</sup> There is evidence that, of such persons, many were ill-treated and then killed for financial gain by the perpetrators, and that others were killed because of their rank and position in society and their membership of a particular ethnic group or nationality.<sup>294</sup>

### ii. Beatings

- 123. Du{ko Sikirica admits that there is evidence of many detainees having been beaten during their detention in Keraterm, often by persons from outside the camp.<sup>295</sup> The beatings caused serious physical and mental harm to the victims.<sup>296</sup>
- 124. As has already been described, upon their arrival at the Keraterm camp the new prisoners would be searched and their names would be taken down at the entrance of the camp. Some prisoners were also beaten. Some witnesses testified that they had seen Sikirica present by the weigh hut when new detainees were beaten.<sup>297</sup> In particular, Witness B testified that one day a group of 12 detainees from Kurevo was brought to the weigh hut at the entrance. The detainees were beaten on the grass opposite the hut and spent the night there. The witness said that Sikirica was present during the beatings but did not personally beat anybody.<sup>298</sup>

#### iii. Sexual assault and rape

125. It is admitted that a small number of women were raped at Keraterm.<sup>299</sup> There is no evidence that Sikirica knew of any such incidents or that he was in a position to know of their having happened after the event.<sup>300</sup> He admits that there is evidence that certain detainees were forced to engage in sexual activities against their will.<sup>301</sup>

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<sup>&</sup>lt;sup>292</sup> *Ibid. See* paragraphs 101 - 103.

<sup>&</sup>lt;sup>293</sup> Sikirica Plea Agreement, p. 5.

<sup>&</sup>lt;sup>294</sup> Ibid.

<sup>&</sup>lt;sup>295</sup> *Ibid*.

<sup>&</sup>lt;sup>296</sup> *Ibid. See* paragraphs 84 - 100.

Witness A stated that Sikirica must have been present during the beatings, sitting inside the hut: T. 611-12. Witness F saw that Sikirica was present on several occasions when new detainees were beaten: T. 1420-21.

<sup>&</sup>lt;sup>298</sup> Witness B, T. 780-81.

<sup>&</sup>lt;sup>299</sup> Sikirica Plea Agreement, p. 6.

<sup>300</sup> Ibid.

<sup>&</sup>lt;sup>301</sup> *Ibid. See* paragraph 99.

#### iv. Harassment, humiliation and psychological abuse

126. Sikirica admits that there is evidence of humiliation, harassment and psychological abuse of the detainees at the Keraterm camp, leading to a general atmosphere of terror. 302 He admits that the killings and beatings which took place also caused mental harm to the inmates. 303 These acts were perpetrated by some of the guards and sometimes by army personnel and others who entered the camp without authority and with impunity, and who would mistreat detainees on a regular and frequent basis, and usually on discriminatory grounds. 304

#### v. Confinement in inhumane conditions

Sikirica admits that there is ample evidence that the Bosnian Muslims, Bosnian Croats and 127. other non-Serbs were subjected to inhumane conditions during their confinement at the Keraterm camp.<sup>305</sup> As described above, these conditions included: insufficient food and water; inadequate medical care and treatment; overcrowding and lack of opportunities for fresh air and exercise; and lack of proper hygiene arrangements. 306 These conditions already existed when Sikirica arrived to take up his duties at Keraterm and continued throughout the period of his assignment. 307

### b. Aggravating circumstances

### i. Arguments of the Parties

128. The Prosecution submits that Sikirica has admitted that he had some, albeit limited, command responsibility arising out of his role as Commander of Security at the Keraterm facility. 308 It is submitted that Sikirica was part of the command structure of the camp, 309 and that, on at least one occasion, Sikirica reported the misconduct of Zoran Žigi} up the chain of command.<sup>310</sup> The Prosecution contends, therefore, that, based on all the evidence before the Chamber and the Plea Agreement, Sirikica had command responsibility, even if it was limited, for the overall conditions in the camp, including the mistreatment of detainees. 311

<sup>&</sup>lt;sup>302</sup> Sikirica Plea Agreement, p. 4.

<sup>303</sup> *Ibid.*, p. 5. 304 *Ibid.*, p. 4. *See* paragraphs 84 – 103.

<sup>305</sup> Sikirica Plea Agreement, pp. 6–7.

<sup>&</sup>lt;sup>306</sup> *See* paragraphs 62 – 78.

<sup>&</sup>lt;sup>307</sup> Sikirica Plea Agreement, p. 4.

<sup>308</sup> Sentencing Hearing, T. 5669.

<sup>309</sup> Ibid.

<sup>&</sup>lt;sup>310</sup> Ibid.

<sup>&</sup>lt;sup>311</sup> *Ibid.* 

129. The Prosecution stresses that Sikirica admitted that he personally shot one detainee near the toilets in the camp, which was confirmed by the testimony of two witnesses, Salko Saldumovi}<sup>312</sup> and Senad Kenjar. The Prosecution submits that there is no evidence that the detainee provoked this killing in any way. The Prosecution submits that the fact that the murder was committed in daylight and in full view of both detainees and guards must have left the impression that this conduct was acceptable, and certainly contributed to the overall atmosphere of terror which existed in the camp during the summer of 1992.

130. The Prosecution submits that the evidence disclosed that some detainees<sup>316</sup> were beaten to death by guards over whom Sikirica had supervisory authority and by visitors to the camp, whom, as Commander of Security, he had a "technical" duty to prevent from entering, knowing the likely consequences to the detainees to whom he owed a duty of care.<sup>317</sup> In this regard, the Prosecution submits that Sikirica, as Commander of Security at the camp, bore some responsibility for all the acts of persecution committed at the camp during the relevant time period.<sup>318</sup>

131. The Prosecution further contends that Sikirica bore responsibility for the Room 3 massacre on the grounds that, as Commander of Security, he controlled the egress and ingress to the camp. The Prosecution points to the fact that Sikirica was involved in the clean-up the day after the massacre, and that he remained in the camp until at least 27 July 1992; the Prosecution argues that this indicates that Sikirica was prepared to continue in his capacity as Commander of Security, despite the massacre. 321

132. The Defence submits that, in assessing the factual basis underlying the crime, it must be the Sikirica Plea Agreement which takes precedence, since that document, by common consent of the parties, "accurately described the accused's culpability". The Defence submits that, although a

<sup>&</sup>lt;sup>312</sup> Salko Saldumovi}, T. 3455-56.

<sup>&</sup>lt;sup>313</sup> Prosecution Sentencing Brief, para. 49; Senad Kenjar, T. 3547.

<sup>314</sup> Sentencing Hearing, T. 5670.

<sup>&</sup>lt;sup>315</sup> Prosecution Sentencing Brief, para. 52; Sentencing Hearing, T. 5670.

<sup>&</sup>lt;sup>316</sup> Several detainees were murdered at Keraterm, including Emsud Bahonji}: Witness V, T. 3753; Besim Hergi}: Witness F, T. 1424; Zvjezda{: Witness G, T. 1713; D'evad Karabegovi}: Witness A, T. 613-16; D'emal Me{ic: Senad Kenjar, T. 3539-41; Safet Me{i} a.k.a [pija: Witness G, T. 1717; Jovo Rado~aj: Witness D, T. 1080; and Dragan Tokmad'i}: Witness I, T. 2055.

<sup>&</sup>lt;sup>317</sup> Prosecution Sentencing Brief, paras 50-51; Sentencing Hearing, T. 5669-70.

<sup>&</sup>lt;sup>318</sup> Prosecution Sentencing Brief, para. 55.

<sup>&</sup>lt;sup>319</sup> *Ibid.*, para. 53.

<sup>&</sup>lt;sup>320</sup> Witness A, T. 647-48.

<sup>&</sup>lt;sup>321</sup> Prosecution Sentencing Brief, para. 54.

<sup>322</sup> Sikirica Plea Agreement, p. 4.

number of factual issues have been raised in the Sikirica Sentencing Brief, if these facts are at variance with the Plea Agreement, it should be the Plea Agreement which prevails. 323

- 133. The Defence notes that Sikirica has admitted to being the "Commander of Security" at Keraterm. In that regard, it is, therefore, accepted that some, albeit limited, command responsibility attaches to him. 324
- 134. The Defence submits that, whilst Sikirica stood in a superior position to the guards at the camp, his formal superiority should be considered in light of a number of factors. Firstly, he had no power to punish anyone. That power, it is argued, lay in the hands of @ivko Kne`evic and Simo Drljaca. Secondly, Duško Sikirica was obliged to report such matters to those superiors, who consistently failed to take action. Thus, whilst Sikirica has accepted that he had a legal duty over the shift commanders and guards at the camp, it is submitted that, in light of the nature of that authority, his superior responsibility should not be considered as an aggravating factor at all, or only to a limited extent.
- 135. In the submission of the Defence, while Sikirica had a notional duty to prevent the Room 3 massacre, he was not present during its inception, nor did he know it was about to take place.<sup>329</sup>
- 136. With regard to the individual killing, the Defence observes that this killing was committed with a firearm that caused the instantaneous death of the victim and that there is no evidence that it was accompanied by prior acts of torture, beating or humiliation of the victim. 330
- 137. With regard to the general conditions within the Keraterm camp, the Defence submits that, while the treatment of the detainees at Keraterm cannot be characterised as satisfactory, the camp was not the place that was represented to the Trial Chamber in the Prosecution's Pre-Trial Brief and its opening statement. While the conditions within the facility may be an aggravating feature, it must, the Defence submits, be tempered by the nature of Sikirica's authority in the camp. 331

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<sup>&</sup>lt;sup>323</sup> Sentencing Hearing, T. 5695.

<sup>324</sup> Sikirica Sentencing Brief, Registry Page ("RP") 10105.

<sup>&</sup>lt;sup>325</sup> Sentencing Hearing, T. 5697.

<sup>&</sup>lt;sup>326</sup> Sikirica Sentencing Brief, RP 10105.

<sup>&</sup>lt;sup>327</sup> *Ibid.* 

<sup>&</sup>lt;sup>328</sup> *Ibid.*, RP 10103.

<sup>&</sup>lt;sup>329</sup> See Sikirica Plea Agreement, para. 10(j).

<sup>330</sup> Sikirica Sentencing Brief, RP 10103.

<sup>&</sup>lt;sup>331</sup> *Ibid.*, RP 10102.

## ii. Findings

- 138. The Prosecution argues that Sikirica's superior position in the camp should be taken into account as an aggravating factor in relation to his crime. In this regard, the Chamber observes that Duško Sikirica has admitted to being "Commander of Security" at the Keraterm camp and, as such, that there was a "technical duty upon him to prevent the entry of persons from outside the camp"; 332 in fact, Sikirica in his brief accepts "some, albeit limited, command responsibility".
- 139. Accordingly, the Chamber considers that Duško Sikirica's failure in his duty to prevent outsiders from coming into the camp to mistreat the detainees is an aggravating factor.
- 140. In addition, the Chamber considers Duško Sikirica's position of authority within the camp to be an aggravating factor in respect of the murder of one of the detainees in the camp, to which the accused has pleaded guilty. As described by eye-witnesses, the murder was committed in full view of both the detainees and the guards.<sup>334</sup> The Trial Chamber concurs with the Prosecution's submission that this act must have left the impression that this conduct was encouraged (or at least not subject to sanction) and contributed to the overall atmosphere of terror that existed in the camp over the relevant period.

# (ii) Mitigating circumstances

### a. Arguments of the Parties

- 141. The Prosecution notes that, while a guilty plea should generally be taken into account as a factor in mitigation of sentence, <sup>335</sup> in this instance it should be accorded very little weight, as Sikirica has not demonstrated remorse. <sup>336</sup>
- 142. The Defence submits that, despite the fact that the guilty plea was entered at a late stage in the proceedings, Sikirica should receive substantial credit<sup>337</sup> because the guilty plea has been important for the purpose of establishing the truth in relation to his crime.<sup>338</sup>

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<sup>332</sup> Sikirica Plea Agreement, para . 10(j).

<sup>333</sup> Sikirica Sentencing Brief, RP 10105.

<sup>334</sup> See paragraph 120.

Prosecution Sentencing Brief, para. 56. Several cases before the International Tribunal and the International Criminal Tribunal for Rwanda have raised this proposition, including *Todorovi*} Sentencing Judgement, paras 75-82. 

336 *Ibid.*, para. 77, which quotes the *Jelisi*} Appeal Judgement, para. 127, referred to in Prosecution Sentencing Brief, para. 56.

<sup>&</sup>lt;sup>337</sup> Sentencing Hearing, T. 5690.

<sup>&</sup>lt;sup>338</sup> *Ibid.*, T. 5688.

143. It is a matter of sound common sense, the Defence submits, that pragmatic judicial policy should seek to encourage guilty pleas by those who are guilty. Plainly, if a plea is entered at the outset of the trial, so much the better.<sup>339</sup> However, it is submitted, there is another aspect to the work of the International Tribunal, to which reference has been made: namely, the truth-finding process.<sup>340</sup> The Defence submits that, in this trial, the presentation of the evidence has enabled a clearer picture to emerge, both in terms of the role of Sikirica and of the events at Keraterm, which differs from the picture painted by the Prosecution at the outset of the trial.<sup>341</sup>

144. The Defence submits that, had Du{ko Sikirica pleaded guilty to persecution at the outset of the trial, it is doubtful whether the Prosecution would have accepted the factual basis that it has now accepted as accurately describing Sikirica's culpability. Thus, in the absence of a trial, Sikirica's culpability could not have been properly reflected. For that reason the Defence invites the Trial Chamber to treat him as though he had pleaded guilty at the outset, and had thereafter had a trial on the narrower issue of the actual nature of his role at Keraterm. It should also be noted that the process has confined Sikirica's responsibility for persecution to events at Keraterm.

145. In the Defence's submission, the unpleasant nature of the regime at Keraterm had been established some time before Sikirica arrived to work there; in other words, it was not one of his creation. The Defence submits that, once the pattern had been set, it would have been extremely difficult to change the situation, considering Sikirica's limited authority.<sup>344</sup>

146. The report of the Commanding Officer of the United Nations Detention Unit reveals that Sikirica has, at all times, behaved properly while in detention.<sup>345</sup> Moreover, the Defence notes that, during the trial, he behaved in an exemplary fashion and has not shown any disrespect to the International Tribunal.<sup>346</sup>

147. The Defence submits that Sikirica has no previous criminal convictions and is married with two children. As such there is a reasonable prospect that he can return to his home community. There is no suggestion that he represents a continuing danger.<sup>347</sup>

<sup>339</sup> Sikirica Sentencing Brief, RP 10102.

<sup>340</sup> Sentencing Hearing, T. 5694.

<sup>&</sup>lt;sup>341</sup> Sikirica Sentencing Brief, RP 10102.

<sup>&</sup>lt;sup>342</sup> *Ibid.*, RP 10101.

<sup>343</sup> Ibid.

<sup>&</sup>lt;sup>344</sup> Sentencing Hearing, T. 5703.

<sup>&</sup>lt;sup>345</sup> Sikirica Sentencing Brief, RP 10099.

<sup>&</sup>lt;sup>346</sup> Sentencing Hearing, T. 5717.

<sup>&</sup>lt;sup>347</sup> Sikirica Sentencing Brief, RP 10100.

#### b. Findings

- 148. The Trial Chamber considers that the primary factor to be considered in mitigation of Du{ko Sikirica's sentence is his decision to enter a quilty plea, although it will also take into account his expression of remorse.
- 149. A guilty plea facilitates the work of the International Tribunal in two ways. Firstly, by entering a plea of guilt before the commencement of his trial, an accused will save the International Tribunal the time and effort of a lengthy investigation and trial. Secondly, notwithstanding the timing of the guilty plea, a benefit accrues to the Trial Chamber, because a guilty plea contributes directly to one of the fundamental objectives of the International Tribunal: namely, its truth-finding function. As was held in the *Todorovi*} case, "a guilty plea is always important for the purpose of establishing the truth in relation to a crime."  $^{348}$
- 150. Accordingly, while an accused who pleads guilty to the charges against him prior to the commencement of his trial will usually receive full credit for that plea, one who enters a plea of guilt any time thereafter will still stand to receive some credit, though not as much as he would have, had the plea been made prior to the commencement of the trial.
- 151. Therefore, the Chamber holds that, despite the lateness of his guilty plea, Du{ko Sikirica should receive some credit.
- 152. In the *Todorovi* case, it was stated that: "In order to accept remorse as a mitigating circumstance ... the Trial Chamber must be satisfied that the expressed remorse is sincere." 349 In this regard, the Chamber takes account of Duško Sikirica's statement during the Sentencing Hearing, in which he said: "I deeply regret everything that happened in Keraterm while I was there. I feel only regret for all the lives that have been lost and the lives that were damaged in Prijedor, in Keraterm, and unfortunately, I contributed to the destruction of these lives." 350 In the Chamber's opinion his expression of remorse was sincere and this will be taken into account in mitigation of sentence.

350 Sentencing Hearing, T. 5718-19.

<sup>&</sup>lt;sup>348</sup> *Todorovi* Sentencing Judgement, para. 81 (emphasis added).

<sup>1649</sup> Ibid., para. 89 (citations omitted).

## (b) Damir Do{en

# (i) Gravity of the crime

# a. Criminal conduct forming basis for conviction

- 153. Damir Do{en was a shift leader of approximately 6 to 12 men at the Keraterm camp from 3 June to early August 1992. As such, he exercised some limited authority, although he did not hold any rank and was of the same seniority as the other guards on his shift. He did not have the power to punish anybody. Došen had no role in the administration of the camp and was not responsible for ensuring adequate supplies of food, clothing, water, medical assistance and satisfactory accommodation. 352
- Numerous witnesses testified about Došen's position in the camp. For instance, Witness A said that Do{en and Kolund`ija were shift commanders and that they wore uniforms without military insignia. Witness C said that the shift leaders were Fu{tar, Do{en and Kolund`ija: their role was to lock and unlock the dormitories, give the guards their assignments and issue orders to the guards. Likewise, Ante Tomi} said that he saw Došen on a daily basis wearing a blue police uniform: the witness identified him as a shift commander by the fact that he carried keys to the rooms and opened and unlocked doors or ordered guards to do it; and the witness also learned that he was a shift commander from the accounts of other inmates. Other witnesses to identify Došen as a shift commander were Witness D, Solutions P, Solko Saldumovi

#### i. Beatings

155. There is evidence that beatings occurred at times when Došen's shift was on duty and he admits that that he was aware of these beatings.<sup>360</sup> The beatings caused both serious physical and mental harm to the victims.<sup>361</sup> Some witnesses testified about incidents where Došen had been present and others about beatings which took place during Došen's shift but in his absence. There

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<sup>&</sup>lt;sup>351</sup> Do{en Plea Agreement, p. 6.

<sup>&</sup>lt;sup>352</sup> *Ibid*.

<sup>&</sup>lt;sup>353</sup> Witness A, T. 742.

<sup>&</sup>lt;sup>354</sup> Witness C, T. 898.

<sup>&</sup>lt;sup>355</sup> Ante Tomic, T. 1951-52. Tomic also mentioned a fourth man, "Tomo".

<sup>&</sup>lt;sup>356</sup> Witness D, T. 1074, 1171, 1227-28.

<sup>&</sup>lt;sup>357</sup> Witness P, T. 3111.

<sup>&</sup>lt;sup>358</sup> Salko Saldumovic, T. 3461-62.

<sup>&</sup>lt;sup>359</sup> Witness W, T. 3876-77.

<sup>&</sup>lt;sup>360</sup> Do{en Plea Agreement, p. 6.

<sup>&</sup>lt;sup>361</sup> *Ibid.*, p. 4.

is also evidence that he attempted to prevent mistreatment of detainees when aware that beatings were about to take place. 362

With regard to the beatings where Došen was present, the evidence included the following: 156. Ante Tomi} testified that Došen was present for a short time after Duca Kne'evic beat prisoners from Room 3, the detainees were still screaming with pain from the injuries sustained; 363 and Witness X said that Došen and the guards would make inmates chase each other and that Došen was present when people were beaten. 364

157. In a separate but related type of incident, Witness N said that on 23 July 1992 he and all the other inmates of Room 3 (approximately 200 men) were taken outside and had to lie on the grass in front of Room 3 without moving. They had to lie there for hours in the burning sun; while they were there Došen sat on a chair nearby with a rifle and threatened that anyone who moved would not stand up again. 365

158. As noted, there was also evidence that beatings occurred on Došen's shift although he himself was not present. For instance, Witness B said that one night he and many others were beaten during Do{en's shift, as was Drago Tokmad'ic (a Croat) who died as a result of his beating. 366 Witness D said that he was so badly beaten that night during Došen's shift that he called out: "Kajin, they are killing me." Došen came over and told the guards to stop beating the witness and the beating stopped.<sup>367</sup> Jusuf Arifagic said that beatings were less frequent on Došen's shift: "if he was physically present there and had control over it, then there wasn't as much beating and However ... if his shift was on and he was not present, it would start mistreatment. immediately." 368

159. Finally, there was some evidence that Do{en intervened or prevented beatings. Witness H said that on one occasion, the arrival of Do{en saved his life while he himself was under attack from Cupo Banovic. 369 Hajrudin Zubovic said that on his arrival at Keraterm he was in a column of detainees who were being interrogated and mistreated by Cupo Banovic and that on Sikirica's intervention, Do{en stopped him twice, telling him to let the prisoners be: on the second occasion Banovic obeyed him and moved away. 370 Witness G described an occasion when Zigi lined up

<sup>&</sup>lt;sup>362</sup> Do{en Plea Agreement, p. 6.

<sup>&</sup>lt;sup>363</sup> Ante Tomic, T. 1955-56.

<sup>&</sup>lt;sup>364</sup> Witness X, T. 4022-23.

<sup>&</sup>lt;sup>365</sup> Witness N, T. 2842-43, 2849, 2944-50. <sup>366</sup> Witness B, T. 775-78.

<sup>&</sup>lt;sup>367</sup> Witness D, T. 1081-85, 1186-89.

<sup>&</sup>lt;sup>368</sup> Jusuf Arifagic, T. 1575.

<sup>&</sup>lt;sup>369</sup> Witness H, T. 1816-17, 1903.

<sup>&</sup>lt;sup>370</sup> Hajrudin Zubovic, T. 2567.

two rows of inmates, beat them, and made them fight each other, until Do{en arrived and told Žigi} to stop. <sup>371</sup>

## ii. Harassment, humiliation and psychological abuse

160. Damir Došen admits that many detainees were beaten during their detention in the Keraterm camp and that the beatings caused both serious physical and mental harm to the victims and mental harm to those who witnessed such events. This contributed in large part to the atmosphere of terror in Keraterm.<sup>372</sup> These acts were perpetrated by some of the guards and sometimes by army personnel and others who entered the camp without authority and who would beat and mistreat detainees on a regular and frequent basis.<sup>373</sup>

### iii. Confinement in inhumane conditions

161. Došen admits that there is ample evidence that the Bosnian Muslims, Bosnian Croats and other non-Serbs were subjected to inhumane conditions during their confinement at the Keraterm camp.<sup>374</sup> As described above, these conditions included: insufficient food and water; inadequate medical care and treatment; overcrowding and lack of opportunities for fresh air and exercise; and lack of proper hygienic arrangements.<sup>375</sup>

### b. Aggravating circumstances

### i. Arguments of the Parties

- 162. The Prosecution states that Do{en exercised some authority in the Keraterm camp as a shift leader of approximately 6 to 12 men<sup>376</sup> and that he referred to himself as a "commander".<sup>377</sup>
- 163. The Prosecution submits that, as a police officer in the reserve force at the camp, Damir Do{en was obliged to respect the ordinary duties and responsibilities of a police officer even during the conflict, <sup>378</sup> which means that he was obliged to prevent mistreatment to all citizens. <sup>379</sup>

<sup>379</sup> Sentencing Hearing, T. 5675.

<sup>&</sup>lt;sup>371</sup> Witness G, T. 1707-09.

<sup>&</sup>lt;sup>372</sup> Do{en Plea Agreement, p. 4.

<sup>373</sup> Ibid. See paragraphs 84 - 100.

<sup>&</sup>lt;sup>374</sup> *Ibid.*, pp. 4-6.

<sup>375</sup> See paragraphs 62 - 78.

<sup>&</sup>lt;sup>376</sup> Do{en Plea Agreement, para. 11, and T. 742-43, 891, 1094, 1171, 1408, 1951, 2561, 3110, 3112-13, 3622, 3750, 3876-77, as cited in Prosecution Sentencing Brief, para. 59; Sentencing Hearing, T. 5674.

<sup>&</sup>lt;sup>377</sup> Salko Saldumovi}, T. 3462, referred to in Prosecution Sentencing Brief, para. 59.

<sup>&</sup>lt;sup>378</sup> Dušan Lakcevic, T. 5533, referred to in Prosecution Sentencing Brief, para. 65; Sentencing Hearing, T. 5675.

Moreover, the Prosecution submits that the psychiatric and psychological evidence led by 164. Do{en concerning his inability to exercise any leadership role 380 should be given little evidentiary weight; it is based almost entirely on interviews with Do{en without any objective and independent verification<sup>381</sup> conducted nine years after he held the position as shift leader.<sup>382</sup> The Prosecution submits that there is no evidence that Do{en suffered any medical or psychiatric condition at the relevant time which would have affected his capacity to perform his duties as a shift leader in Keraterm. The Prosecution submits that any such condition has developed since he left the camp. 383

165. The Prosecution submits that the persecutory acts committed by Do{en were committed in circumstances of aggravation. 384 It notes that, as a shift commander and police officer, he abused the trust placed in him<sup>385</sup> and that the victims at Keraterm were particularly vulnerable and helpless. 386

166. The Prosecution submits that the fact that the crime of persecution in which Do{en participated was committed repeatedly and over an extended period of time should constitute an aggravating factor.<sup>387</sup> Moreover, the detainees at the Keraterm camp were subjected to particularly degrading and humiliating treatment. 388

167. The Defence notes that Damir Do{en is criminally liable on account of his position as a shift leader of 6 to 12 men, who exercised some authority in the camp. He was not entrusted with any public duty and, accordingly, he could not be considered to have abused this trust. 389 The Defence notes that the Prosecution has employed for the first time the concept of "public trust", allegedly derived from Do{en's position as reserve policeman. 390 The Defence considers that this concept of responsibility is not recognised in the Rules or in the practice of the International Tribunal, and as such has to be rejected. 391

<sup>&</sup>lt;sup>380</sup> Expert psychiatric report of Dr. Du{ica Lecic-Tosevski, Exh. D41/2, Du{ica Lecic-Tosevski T. 5584; Expert psychological report of Ana Najman, Exh. D19/2.

Ana Najman, T. 5384-87; Du{ica Lecic-Tosevski, T. 5587.

Prosecution Sentencing Brief, para. 67.

<sup>383</sup> *Ibid.*, citing T. 558 and 5592; Exhs D41/2 and D19/2. Prosecution Sentencing Brief, para. 76.

<sup>&</sup>lt;sup>385</sup> *Ibid.*, para. 77; Sentencing Hearing T. 5674, 5681.

<sup>&</sup>lt;sup>386</sup> Prosecution Sentencing Brief, para. 78.

<sup>&</sup>lt;sup>387</sup> *Ibid.*, para. 79.

<sup>&</sup>lt;sup>388</sup> Ibid.

Do{en Sentencing Brief, paras 16-17.

<sup>&</sup>lt;sup>390</sup> Sentencing Hearing, T. 5724.

<sup>&</sup>lt;sup>391</sup> *Ibid.*, T. 5725.

- 168. Moreover, the Defence submits that Do{en only exercised some authority and had very limited control over the equally ranked guards. Therefore this element cannot be considered as an aggravating circumstance, <sup>393</sup> in particular because that was the essence of his position there. <sup>394</sup>
- 169. The Defence further submits that neither the agreed facts nor any of the allegations during the hearings indicate that Do{en subjected any detainees to mistreatment, humiliation or degradation. <sup>395</sup>
- 170. The Defence also submits that, while Do{en has admitted that the detention conditions were very difficult, <sup>396</sup> he did all he could to help the detainees and to protect them to the extent possible. <sup>397</sup>
- 171. Accordingly, the Defence submits, there are no aggravating factors in relation to Do{en's crime of persecution.

## ii. Findings

- 172. The Chamber is of the view that Damir Došen's position as shift leader is an aggravating factor in relation to this crime. He was in a position of trust which he abused: he permitted the persecution of, and condoned violence towards, the very people he should have been protecting. However, the amount of aggravation must be limited in light of the limited nature of his authority.
- 173. The Chamber does not consider that any of the other points raised by the Prosecution are capable of being construed as aggravating factors in relation to the crime of persecution of which Damir Do{en has been convicted.

#### (ii) Mitigating circumstances

#### a. Arguments of the Parties

174. The Prosecution submits that, while a plea of guilt is usually a mitigating circumstance, <sup>398</sup> the accused must demonstrate some degree of contrition and honesty. The Prosecution further

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<sup>&</sup>lt;sup>392</sup> Do{en Sentencing Brief, para. 18; Sentencing Hearing, T. 5725.

<sup>&</sup>lt;sup>393</sup> Do{en Sentencing Brief, para. 18; Sentencing Hearing, T. 5725-26.

<sup>&</sup>lt;sup>394</sup> Sentencing Hearing, T. 5726.

<sup>&</sup>lt;sup>395</sup> Do{en Sentencing Brief, paras 19-20; Sentencing Hearing, T. 5726.

<sup>&</sup>lt;sup>396</sup> Do{en Plea Agreement, para. 10, referred to in Do{en Sentencing Brief, para. 21; Sentencing Hearing, T. 5726.

<sup>&</sup>lt;sup>397</sup> Do{en Plea Agreement, paras 13-14, referred to in Do{en Sentencing Brief, para. 21.

<sup>&</sup>lt;sup>398</sup> Prosecutor v. Dražen Erdemovic, Case No. IT-96-22, Sentencing Judgement, 5 Mar. 1998 (" Erdemovic Sentencing Judgement"); Jelisi} Appeal Judgement; Prosecutor v. Kambanda, Case No. ICTR-97-23-A, Judgement, 19 Oct. 2000; Todorovi} Sentencing Judgement, referred to in Prosecution Sentencing Brief, para. 80.

submits that, because of the lateness of the plea, the victims and witnesses were not saved from having to give evidence and in particular from being cross-examined, and that very little time was saved in this instance. Accordingly, it is submitted, the plea entered by Do{en should be accorded little weight. 400

175. The Prosecution, however, agrees that it is important that Damir Do{en, as a person of Serbian ethnicity from the Prijedor region, has acknowledged his guilt and admitted to perpetrating the crime. <sup>401</sup> In the Prosecution's submission, such acknowledgement is very rare, <sup>402</sup> and it considers this to be important for the process of reconciliation. <sup>403</sup>

176. The Prosecution acknowledges that Damir Do{en expressed some remorse to the detainees whilst in Keraterm. However, it recalls that there is also evidence that Do{en said that the detainees in Room 3 deserved their fate, had any remorse expressed at the time the offences were committed should be weighed against that evidence. Moreover, the Prosecution submits that the psychiatric report of Dr. Kmeti} concerning Do{en does not refer to any expression of remorse. However, had been detained as a submit of the psychiatric report of Dr. Kmeti} concerning Do{en does not refer to any expression of remorse.

177. The Prosecution contends that Damir Do{en has failed to establish that he was suffering from a diminished mental capacity at the time the offences took place. 408 According to the Prosecution, the evidence suggests that, while there were various factors which may have given rise to post-traumatic stress disorder, Damir Do{en was not afflicted with this disorder at Keraterm. 409 In this regard, the Prosecution notes that Do{en was able to continue his duties even after the Room 3 massacre, and that he was able to function in society after he left the camp. 410 Moreover, the Prosecution submits that much of the stress that Damir Do{en has suffered first materialised after he became aware that he was under investigation and upon being taken into custody. 411 The Prosecution therefore considers that, at most, Do{en is suffering from post-traumatic stress disorder,

<sup>399</sup> *Todorovi* Sentencing Judgement, para. 81, referred to in Prosecution Sentencing Brief, para. 80.

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<sup>400</sup> Prosecution Sentencing Brief, para. 80.

<sup>401</sup> Sentencing Hearing, T. 5676-77.

<sup>&</sup>lt;sup>402</sup> *Ibid*., T. 5677.

<sup>&</sup>lt;sup>403</sup> *Ibid*.

<sup>404</sup> *Ibid.*, T. 5676.

<sup>&</sup>lt;sup>405</sup> Prosecution Sentencing Brief, para. 75; Sentencing Hearing, T. 5676.

<sup>406</sup> Sentencing Hearing, T. 5676.

<sup>&</sup>lt;sup>407</sup> *Ibid*., T. 5677.

<sup>&</sup>lt;sup>408</sup> *Ibid*.

<sup>&</sup>lt;sup>409</sup> *Ibid.*, T. 5677-78.

<sup>&</sup>lt;sup>410</sup> *Ibid*., T. 5678.

<sup>&</sup>lt;sup>411</sup> *Ibid*.

not diminished mental capacity, and the Chamber should not reduce the sentence in light of his condition. 412

- The Prosecution submits that, while prior to the commission of the crimes Do{en was a 178. person of good character, and that during and afterwards he suffered personal hardship, 413 the Defence has failed to link such hardship to the offences that occurred in Keraterm. Accordingly, the Prosecution considers that this factor does not justify a significant reduction in sentence.<sup>414</sup>
- 179. The Defence notes that some Prosecution witnesses testified that Do{en had Muslim friends and never drew distinctions among people on the basis of their ethnic background. <sup>415</sup> The Defence further submits that seven witnesses, who were detained in the Keraterm camp, testified in favour of Do{en.416
- The Defence notes that Do{en could not have avoided the military call-up, 417 and that some 180. Defence witnesses testified that Do{en had told them that he was in Keraterm against his will and that he could no longer perform this duty. 418
- 181. The Defence contends that the Do{en Plea Agreement referred to evidence that Do{en asserted his influence to improve conditions in the camp. 419 In this regard, the Defence observes that many witnesses testified that when Do{en was on duty people had enough time to eat decently; 420 they could receive food; 421 there was water; 422 detainees were never beaten when he was around<sup>423</sup> or that Do{en tried to prevent beatings; 424 detainees could leave their rooms; 425 Do{en did not mistreat or beat anyone; 426 and that he helped detainees to go to the hospital or to receive medical care. 427 The Defence emphasises that some of the witnesses testified that Do{en

<sup>&</sup>lt;sup>412</sup> *Ibid.* 

<sup>&</sup>lt;sup>413</sup> Prosecution Sentencing Brief, para. 81 and n. 206 referring to the death of his infant son and of his father; Sentencing Hearing, T. 5678.

Sentencing Hearing, T. 5680.

<sup>&</sup>lt;sup>415</sup> Witness A, T. 714, 718; Witness B, T. 825; Witness H, T. 1798; Witness M, T. 2784; Sentencing Hearing, T. 5727. See also Witness DN, T. 5141; Karlo Petrinovic, T. 5312-13; Witness DQ, T. 5342-43, 5346.

<sup>&</sup>lt;sup>416</sup> Sentencing Hearing, T. 5729.

<sup>&</sup>lt;sup>417</sup> *Ibid.*, T. 5727.

<sup>&</sup>lt;sup>418</sup> Witness DN, T. 5142; Witness DO, T. 5244.

<sup>&</sup>lt;sup>419</sup> Do{en Plea Agreement, para. 13, referred to in Do{en Sentencing Brief, paras 25-27; Sentencing Hearing, T. 5728. <sup>420</sup> Witness A, T. 592.

<sup>421</sup> Witness DG, T. 4610; Husein Ganic, T. 5049-50. 422 Witness B, T. 764, 826.

<sup>&</sup>lt;sup>423</sup> Witness A, T. 625-26; Jusuf Arifagic, T. 1652; Senad Kenjar, T. 3582.

<sup>&</sup>lt;sup>424</sup> Witness G, T. 1708, 1756; Witness I, T. 2115, 2118; Witness P, T. 3106, 3203; Witness S, T. 3667, 3669; Witness DP, T. 5272.

<sup>&</sup>lt;sup>425</sup> Witness B, T. 825; Witness C, T. 955; Witness W, T. 3910.

<sup>&</sup>lt;sup>426</sup> Witness A, T. 625-26, 702; Witness B, T. 826; Senad Kenjar, T. 3582.

<sup>&</sup>lt;sup>427</sup> Witness A, T. 710; Witness B, T. 825; Witness F, T. 1427, Jusuf Arifagic, T. 1564; Witness H, T. 1806, 1888; Senad Kenjar, T. 3582; Witness W. T. 3910, 3917; Husein Ganic, T. 5037.

tried to talk with the detainees<sup>428</sup> and was generally friendly with them.<sup>429</sup> The Defence also points out that some Defence witnesses testified that Do{en helped them at great personal risk to himself.<sup>430</sup> Moreover, the Defence submits that Do{en did all of this regardless of whether he had known those people before or not.<sup>431</sup>

- 182. The Defence points out that Damir Do{en was 25 years old at the relevant time and had only graduated from primary school. The Defence underscores that Do{en lost his first child, a son, immediately prior to the outbreak of the conflict, and that his father died in February 2000, i.e., three months after his arrest.
- 183. Moreover, the Defence notes that Do{en's wife is unemployed and lives with their two children, aged 8 years, and 16 months, 436 and with his mother, who suffers from serious mental disorders. 437 The Defence submits that Do{en's family lives in Prijedor in very difficult material circumstances. 438 The Defence submits that these personal circumstances should be taken into account in mitigation of sentence. 439
- 184. The Defence points out that Damir Do{en has been in the United Nations Detention Unit for two years and that the Commander of the United Nations Detention Unit stated in his report that Do{en has behaved well during his incarceration. 440
- 185. The Defence submits that Do{en has expressed remorse and that he is deeply sorry for those who suffered in Keraterm. The Defence contends that some witnesses testified that they saw Do{en apologising for mistreatment in the camp, 442 that he was in disagreement with the policy of the Serb Democratic Party, and that he felt that the guilty persons should be brought to the police. Some witnesses testified that Do{en cried many times while in the camp. The Defence therefore

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<sup>&</sup>lt;sup>428</sup> Jusuf Arifagic, T. 1651; Ante Tomic, T. 1994; Salko Saldumovic, T. 3498; Witness DG, T. 4610; Witness DN, T. 5142, 5144; Witness DO, T. 5244; Witness DR, T. 5565; Witness DM, T. 5089; Sentencing Hearing, T. 5728.

<sup>&</sup>lt;sup>429</sup> Witness DG, T. 4610; Witness DN, T. 5142, 5144; Witness DR, T. 5565.

<sup>430</sup> Witness DN, T. 5144; Witness DP, T. 5271.

<sup>&</sup>lt;sup>431</sup> Sentencing Hearing, T. 5728.

<sup>&</sup>lt;sup>432</sup> Do{en Sentencing Brief, paras 29-30; Sentencing Hearing, T. 5727.

<sup>&</sup>lt;sup>433</sup> Exhs D15/2, D16/2, D17/2.

<sup>&</sup>lt;sup>434</sup> Do{en Sentencing Brief, paras 29-30; Sentencing Hearing, T. 5727.

<sup>&</sup>lt;sup>435</sup> Sentencing Hearing, T. 5731, 5325-28.

<sup>&</sup>lt;sup>436</sup> *Ibid.*, T. 5730-31.

<sup>437</sup> Exhs D19/2, D41/2, referred to in Do{en Sentencing Brief, paras 29-30; Sentencing Hearing, T. 5731.

<sup>438</sup> Sentencing Hearing, T. 5731.

<sup>439</sup> Do{en Sentencing Brief, paras 29-30.

Report on Behaviour, 19 Sept. 2001, Exh. D50/2, referred to in Do{en Sentencing Brief, para. 31; Sentencing Hearing, T. 5733.

<sup>&</sup>lt;sup>441</sup> Do{en Sentencing Brief, para. 32.

<sup>&</sup>lt;sup>442</sup> Witness A, T. 626; Jusuf Arifagic, T. 1596; Witness P, T. 3138; Sentencing Hearing, T. 5730.

<sup>&</sup>lt;sup>443</sup> Jusuf Arifagic, T. 1596, 1663.

<sup>444</sup> Witness A, T. 713; Witness F, T. 1504; Jusuf Arifagic, T. 1663.

considers that Damir Do{en expressed remorse as early as 1992, at the time the offence was perpetrated, and that this must have special weight as a mitigating circumstance. 445

186. The Defence considers that the plea of guilty by Do{en has a great impact on the realisation of one of the most important functions of the International Tribunal, namely the understanding that a criminal offence was perpetrated and that one must be held accountable for it. 446 Moreover, the Defence notes that the guilty plea had a strong echo in Prijedor because it indicated to those of Serbian nationality that there are people brave enough to admit that evil happened, 447 and it is an example to all those "who should stand up and confess their guilt, thus contributing to what is so sorely needed in Bosnia and Herzegovina today: truth, justice and reconciliation." The Defence points out that, with this act, Damir Do{en has greatly contributed to the fact that in Prijedor today, people are speaking much more freely about crime and responsibility for crimes, which contributes to important functions of the International Tribunal, namely, truth-finding and reconciliation. Therefore, the Defence submits that Do{en's admission of guilt should be taken into account as a mitigating factor. 450

187. The Defence submits that Do{en's guilty plea and his agreement with the Prosecution have helped to shorten the proceedings. Moreover, the Defence regards as an important element the fact that Do{en has waived his right to an appeal in the event that the Chamber pronounces a sentence within the limits set by the Plea Agreement. 452

188. According to the Defence, the *^elebi}i* Appeal Judgement established that where an accused seeks to rely on diminished mental capacity in mitigation of sentence, he must demonstrate that, more probably than not, such a condition existed at the relevant time. 453

189. The Defence considers that, over the relevant period, Do{en experienced multiple traumas and stresses: namely, the death of his first baby and the situation in Keraterm. The Defence points out that both situations were characterised by expert Dr. Leci}-Tosevski as acute stress reactions that in a later stage transformed into a post-traumatic stress disorder, where the difference between acute stress reaction and a post-traumatic stress disorder is only in its duration and

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 $<sup>^{445}</sup>$  Do{en Sentencing Brief, para. 33.

<sup>446</sup> *Ibid.*, para. 36.

<sup>447</sup> Ibid.

<sup>448</sup> Sentencing Hearing, T. 5733.

<sup>&</sup>lt;sup>449</sup> *Ibid*.

<sup>&</sup>lt;sup>450</sup> Do{en Sentencing Brief, para. 36.

<sup>451</sup> Sentencing Hearing, T. 5733.

<sup>&</sup>lt;sup>452</sup> *Ibid.*, T. 5733-34.

<sup>&</sup>lt;sup>453</sup> Celebici Appeal Judgement, para. 590, referred to in Do{en Sentencing Brief, para. 38.

<sup>&</sup>lt;sup>454</sup> Du{ica Lecic-Tosevski, T. 5580, Exh. D41/2, pp. 5, 6.

intensity of symptoms. <sup>455</sup> The Defence considers that the acute stress reaction caused by the loss of the child seriously compromised Do{en's capacity to respond adequately to the events and circumstances in which he found himself. <sup>456</sup> The Defence argues that Do{en's mental state, both during the commission of the acts and today, is a mitigating factor. <sup>457</sup>

190. The Defence submits that Do{en is suffering from post-traumatic stress disorder of a chronic type, generalised anxiety disorder, and major depressive disorder of a recurrent type. <sup>458</sup> It also points out that Do{en's behaviour is characterised by dependency, passivity, hypersensitivity, emotional vulnerability, with elevated anxiety and fears, the wish to be accepted, and that he is incapable of taking a leadership role. <sup>459</sup> The Defence refers to Dr. Leci}-Tosevski who concluded that, in view of the established diagnosis and heredity, there are prospects for development of a more severe depression, with a risk of suicide. <sup>460</sup>

191. The Defence notes that both expert opinions were formed in accordance with the standards of psychiatric and psychological expert witnessing and exploration of personality.<sup>461</sup>

### b. Findings

192. The Chamber will consider the following factors in mitigation of Damir Do{en's sentence: his plea of guilt, his remorse, and the assistance he provided to some of the detainees at the Keraterm camp.

193. In relation to the plea of guilt, for the same reasons as set forth in relation to Sikirica, the Chamber considers that, despite the lateness of his guilty plea, Damir Do{en should receive some credit.

194. As noted above with respect to Sikirica, it was stated in the *Todorovi*} case that "the Trial Chamber must be satisfied that the expressed remorse is sincere". In his statement at the Sentencing Hearing, Damir Do{en acknowledged that the people who were detained in the Keraterm camp "were innocent and they were suffering grievously. A crime has been committed against these people, and I am prepared to take my part of the responsibility for this crime ...". 462 He went on to say that he was "sorry for every man who suffered, every family that lost a family

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<sup>&</sup>lt;sup>455</sup> Du{ica Lecic-Tosevski, T. 5581.

<sup>&</sup>lt;sup>456</sup> Do{en Sentencing Brief, para. 39; Sentencing Hearing, T. 5732.

<sup>&</sup>lt;sup>457</sup> Do{en Sentencing Brief, para. 39; Sentencing Hearing, T. 5732-33.

<sup>&</sup>lt;sup>458</sup> Du{ica Lecic-Tosevski, T. 5579-80.

<sup>&</sup>lt;sup>459</sup> Exh. D41/2, p. 11; Exh. D19/2, p. 12 (cited by Defence as p. 13).

<sup>460</sup> Do{en Sentencing Brief, para. 40.

<sup>&</sup>lt;sup>461</sup> *Ibid.*, para. 42.

<sup>462</sup> Sentencing Hearing, T. 5736.

member, every child that has lost a father". 463 The Chamber considers Damir Do{en's expression of remorse in relation to his crime to be sincere. Consequently, it will be treated as a mitigating factor, albeit of limited effect, in the determination of his sentence.

195. The Chamber has also taken into account the evidence that Do{en, as shift leader, often acted to ameliorate the terrible conditions that prevailed in the Keraterm camp, in relation to particular detainees. The Chamber considers that Damir Do{en's acts in this regard constitute a mitigating factor for purposes of sentencing.

196. The Defence has argued that, in addition to the foregoing considerations, Do{en's diminished mental capacity should be taken into account in mitigation of sentence. The Chamber does not consider this to constitute a mitigating factor in the circumstances of this case for the following reasons.

197. In the ^elebi}i case, the Appeals Chamber established that Rule 67 (A)(ii)(b) of the Rules, pursuant to which the Defence shall notify the Prosecution of its intent to offer any special defence, including that of diminished mental responsibility, must be interpreted as referring to diminished mental responsibility where it is raised by the accused as a matter in mitigation of sentence. The Appeals Chamber further stated that, where the accused relies on this in mitigation, he must establish the condition on the balance of probabilities, in other words, he must show that, more probably than not, such a condition existed at the relevant time. 465

198. The conclusion of Dr. Leci}-Tosevski's examination of Damir Do{en was that, during the relevant period, there was evidence of acute stress reactions, due to the death of his first baby and the situation in Keraterm, that in a later stage transformed into a post-traumatic stress disorder. During her testimony, Dr. Leci}-Tosevski said that the only differences between acute stress reaction and a post-traumatic stress disorder are the duration and the intensity of symptoms. The second expert witness, Dr. Najman, did not deal in her report with the specific question of diminished mental capacity but considered that Do{en was suffering from vulnerability, "depressiveness" and insecurity, especially after the traumatic event of the death of his first child.

199. The Chamber concludes that Damir Do{en's condition at the time his crimes were committed was not one which could give rise to mitigation of sentence.

<sup>&</sup>lt;sup>463</sup> *Ibid.*, T. 5737.

<sup>464</sup> See paragraphs 60, 74 - 77, 83, 93, 98, 158 - 59.

<sup>&</sup>lt;sup>465</sup> ^*elebi}i* Appeal Judgement, para. 590.

<sup>466</sup> Dušica Leci}-Tosevski, T. 5580-82.

<sup>&</sup>lt;sup>467</sup> *Ibid*., T. 5581.

<sup>&</sup>lt;sup>468</sup> Exh. D19/2; Ana Najman, T. 5369-71.

# (c) Dragan Kolund`ija

### (i) Gravity of the crime

a. Criminal conduct forming basis for conviction: confinement in inhumane

conditions

200. Dragan Kolund`ija was a shift leader at the Keraterm camp from early June to 25 July 1992. Prior to his promotion to shift leader, he had been a guard at the camp. <sup>469</sup> As shift leader he had some control over 6 to 12 guards on his shift and thus exercised some authority in the Keraterm camp. <sup>470</sup> Kolund`ija admits that he was in a position to influence the day-to-day running of the

camp when he was on duty. 471

201. Several witnesses testified about Kolund`ija's position in the camp. For instance, Witness A said that Kolund`ija was a shift commander and that he wore a uniform of camouflage trousers and olive-green shirt but no insignia of rank.<sup>472</sup> Witness F said that Kolund`ija was one of the shift commanders, each of whom had keys to the rooms and decided who would get water.<sup>473</sup> Hajrudin

Zubovic also identified Kolund`ija as a shift leader. 474

202. There is no evidence that Kolund`ija personally mistreated or condoned the mistreatment of detainees by others. He accepts that there is evidence that mistreatment occurred regularly at the Keraterm camp during the period when he was a shift leader and he accepts responsibility for

continuing as a shift leader despite being aware of the inhumane camp conditions. 477

203. More specifically, Kolund`ija admits that there is ample evidence that the Bosnian Muslims, Bosnian Croats and other non-Serbs were subjected to inhumane conditions during their confinement at the Keraterm camp. <sup>478</sup> As described above, these conditions included: insufficient food and water; inadequate medical care and treatment; overcrowding and lack of opportunities for

<sup>469</sup> Agreed Facts, pp. 1, 3–4. Kolund`ija returned to the camp in a different capacity on about 30 July 1992.

<sup>&</sup>lt;sup>470</sup> Agreed Facts, pp. 2-3.

<sup>&</sup>lt;sup>471</sup> Ibid.

<sup>&</sup>lt;sup>472</sup> Witness A, T. 629, 742.

<sup>&</sup>lt;sup>473</sup> Witness F, T. 1407-09.

<sup>&</sup>lt;sup>474</sup> Hajrudin Zubovic, T. 2561-62.

<sup>&</sup>lt;sup>475</sup> Agreed Facts, p. 3.

<sup>&</sup>lt;sup>476</sup> Kolund`ija Plea Agreement, p. 1.

Agreed Facts pp. 3–4.

<sup>&</sup>lt;sup>478</sup> *Ibid.*, p. 2.

fresh air and exercise; lack of proper hygiene arrangements, 479 and, in addition, humiliation, harassment and psychological abuse of the detainees leading to a general atmosphere of terror. 480

### b. Aggravating circumstances

### i. Arguments of the Parties

204. The Prosecution concedes that almost all the witnesses testified that Kolund`ija did not personally mistreat or condone the mistreatment of detainees and, indeed, frequently prevented guards on his shift from doing so, and that he also attempted to prevent some visitors from mistreating or killing the detainees under his care during his shift.<sup>481</sup> However, the Prosecution contends that, although he was aware of the conditions under which the detainees were being kept, he maintained his employment and position as a shift leader until after the Room 3 massacre which occurred while he was on duty.<sup>482</sup>

205. The Prosecution notes that, while there is evidence that Kolund`ija gave preferential treatment to some of the prisoners, he did not extend the same privileges to all detainees. The Prosecution submits that although Kolund`ija's acts of kindness were not confined to those he knew, he always gave preferential treatment to detainees he knew. The Prosecution points out, for instance, that the men from the Brdo area were kept in their room for days, including during Dragan Kolund`ija's shift, without food or water.

206. Even if many witnesses testified that Kolund`ija's shift was the "best shift", 486 the Prosecution submits that, in any event, conditions were terrible and inhumane. 487 Moreover, it is submitted that Kolund`ija accepted a promotion from guard to shift leader in full knowledge that beatings and murders were taking place and that the conditions in the camp were horrendous. 488

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 $<sup>^{479}</sup>$  See paragraphs 62 - 65, 67 - 78.

<sup>&</sup>lt;sup>480</sup> Agreed Facts p. 3. See paragraphs 84 – 100.

Prosecution Sentencing Brief, para. 86; Sentencing Hearing, T. 5682.

<sup>&</sup>lt;sup>482</sup> Prosecution Sentencing Brief, para. 86.

<sup>483</sup> Ibid., para. 87; Sentencing Hearing, T. 5682-83.

<sup>484</sup> *Ibid.*, Sentencing Hearing, T. 5684.

<sup>&</sup>lt;sup>485</sup> *Ibid*.

<sup>&</sup>lt;sup>486</sup> Prosecution Sentencing Brief, para. 87; Sentencing Hearing, T. 5683.

<sup>&</sup>lt;sup>487</sup> Prosecution Sentencing Brief, para. 87; Sentencing Hearing, T. 5683.

<sup>&</sup>lt;sup>488</sup> Prosecution Sentencing Brief, para. 87; Sentencing Hearing, T. 5682, 5684.

- 207. The Prosecution also asserts that Kolund`ija was in the same situation as Do{en in that, as a reserve police officer, he had an obligation to protect people. 489
- 208. The Defence submits that, as a shift leader, Kolund`ija had no power to discipline or punish guards who misbehaved on his shift; he only had the power to report misconduct to a superior. The Defence points out that this was conceded in Do{en's case. According to the Defence, there is no evidence that Kolund`ija held a position of superior authority but failed to take action to prevent or punish mistreatment; Indeed, there is some testimony to the contrary. Moreover, the Defence submits that Kolund`ija could not be expected to exercise any power over guards on other shifts when he was not present.
- 209. The Defence contends that, as a shift leader, Kolund`ija had no power to change the basic conditions of overcrowding, food, water shortage or lack of sanitary and hygienic care in the camp.<sup>494</sup> The Defence notes that the Prosecution did not submit any evidence that Kolund`ija had such power<sup>495</sup> and that, on the contrary, there was evidence that no such power existed.<sup>496</sup> The Defence further submits that this was conceded by the Prosecution in Do{en's case.<sup>497</sup> The Defence contends that Kolund`ija tried to improve those conditions over which he did have some control.<sup>498</sup>

## ii. Findings

210. The Trial Chamber finds that Dragan Kolund' ija was in a similar position in the camp b that of Do{en, i.e., a shift leader with limited authority. By continuing as a shift leader, although aware of the conditions, he was abusing his position of trust. This amounts to an aggravating factor in his case, albeit limited in line with his authority.

<sup>498</sup> Sentencing Hearing, T. 5757.

<sup>&</sup>lt;sup>489</sup> Sentencing Hearing, T. 5685.

<sup>490</sup> Do{en Plea Agreement, para. 11, referred to in Kolundžija Sentencing Brief, p. 27.

<sup>&</sup>lt;sup>491</sup> Kolund`ija Sentencing Brief, p. 26.

<sup>&</sup>lt;sup>492</sup> *Ibid.*, p. 26-27, referring to Witness DK, T. 4798 and Du{an Lakcevi}, T. 5483-86.

<sup>&</sup>lt;sup>493</sup> Ihid.

<sup>&</sup>lt;sup>494</sup> Kolund`ija Sentencing Brief, p. 27, Sentencing Hearing, T. 5757.

Kolund` ija Sentencing Brief, p. 27, Sentencing Hearing, T. 5757.

<sup>&</sup>lt;sup>496</sup> Du{an Lakcevi}, T. 5486; Witness DK, T. 4800.

<sup>&</sup>lt;sup>497</sup> Do{en Plea Agreement, para. 11, referred to in Kolund`ija Sentencing Brief, p. 27; Sentencing Hearing, T. 5757.

## (ii) Mitigating circumstances

## a. Arguments of the Parties

- In relation to mitigating circumstances, the Prosecution notes that Kolund`ija admitted his guilt after the Prosecution case had been fully presented, but before presenting his defence case. 499 The Prosecution asserts that, although Dragan Kolund`ija has saved the Chamber some time, it would have been preferable had he entered his plea earlier. 500
- 212. The Defence submits that Kolund`ija, by pleading guilty to the crime of persecution, has shown a significant degree of remorse. It points out that the International Tribunal has considered a quilty plea as a proof of honesty<sup>501</sup> and as proof of establishing the truth,<sup>502</sup> and that quilty pleas must be encouraged considering their rarity. 503 The Defence notes that, in this case, Kolund`ija's expression of remorse is particularly strong, as he said that he wanted to admit his guilt, even if he is to be acquitted. 504
- 213. The Defence also considers that, while Kolund`ija did not plead at the earliest possible opportunity, there has still been a saving of time and money for the International Tribunal, as the Defence case had not yet begun when Kolund`ija changed his plea. <sup>505</sup> Moreover, the Defence notes that, in waiving his right to appeal the Judgement on Defence Motions to Acquit, the ruling against his request for the Prosecution to make further disclosure, and "upon conviction (if such had resulted)", Kolund`ija has saved the International Tribunal time and resources. 506 Furthermore, the Defence emphasises that Kolund`ija's plea may have precipitated the guilty pleas of his co-accused, which also shortened the length of the trial overall. <sup>507</sup> The Defence submits that a guilty plea must be encouraged by way of a reduction in sentence. 508
- The Defence also submits that the lateness of Kolund`ija's plea must not be held against 214. him, because, if Kolund`ija had pleaded earlier, the Chamber would not have known the truth about

<sup>&</sup>lt;sup>499</sup> Prosecution Sentencing Brief, para. 88; Sentencing Hearing, T. 5685.

<sup>&</sup>lt;sup>500</sup> Prosecution Sentencing Brief, para. 88.

<sup>&</sup>lt;sup>501</sup> Erdemovi</sup> Sentencing Judgement, para. 16, referred to in Kolund`ija Sentencing Brief, p. 23; Sentencing Hearing,

<sup>&</sup>lt;sup>502</sup> *Todorovi*} Sentencing Judgement, para. 81, referred to in Kolund`ija Sentencing Brief, p. 23.

<sup>&</sup>lt;sup>503</sup> Opinion of Judge Cassese in the *Erdemovi*} Appeal Judgement. The Defence also notes that out of 47 cases completed or awaiting trial before the International Tribunal, only 3 have been guilty pleas before Kolund`ija's case. See Kolund`ija Sentencing Brief, p. 23.

504 Sentencing Hearing, T. 5769.

505 Prosecution Sentencing Brief, para. 88; Sentencing Hearing, T. 5765.

<sup>&</sup>lt;sup>506</sup> Kolund`ija Sentencing Brief, p. 23; Sentencing Hearing, T. 5765.

<sup>507</sup> Kolund`ija Sentencing Brief, p. 24; Sentencing Hearing, T. 5767.

<sup>&</sup>lt;sup>508</sup> Sentencing Hearing, T. 5767.

what happened in the camp, and Kolund'ija would have been sentenced to a far longer term of imprisonment, unjustly. 509

- 215. The Defence argues that Kolund`ija was never a free agent who could exercise discretion: he was conscripted in time of war, he was not a volunteer, and he was ordered to fulfil the task of a reserve unranked police guard at the time. 510 The Defence further argues that Kolund`ija would have been imprisoned had he deserted. 511 The Defence contends that Kolund`ija disagreed with what went on in the camp. <sup>512</sup> Although there is no defence of "superior orders", the Defence refers to Article 7, paragraph 4, of the Statute and submits that the circumstance of a subordinate having to obey orders may be considered in mitigation. <sup>513</sup>
- 216. The Defence submits that there is evidence that Kolund`ija complained about the conditions at Keraterm camp and the behaviour of outsiders to his superior, @ivko Kne'evic, on several occasions, 514 and underscores that the Prosecution adduced no evidence that Kolund`ija failed to complain. <sup>515</sup> The Defence also points out that, after the Room 3 massacre, Dragan Kolund`ija threw his gun down in anger at the feet of @ivko Kne'evic and did not return for duty for some davs.516
- The Defence argues that many witnesses testified that Kolund`ija, at considerable risk to himself, helped to protect detainees from violence. 517 There is evidence that, on one occasion, he prevented a soldier from firing on the dormitory, 518 that he prevented guards or outsiders from beating detainees, 519 and that he told the detainees to go back to their room when beatings occurred. 520 One witness testified that he did his best; 521 another testified that Kolund`ija told him that he would go back home. 522
- The Defence submits that the limited extent of violence may be specifically relied on as a mitigating factor by the International Tribunal. 523 The Defence points out that Kolund`ija never

<sup>&</sup>lt;sup>509</sup> Kolund`ija Sentencing Brief, p. 24; Sentencing Hearing, T. 5767.

<sup>&</sup>lt;sup>510</sup> Kolund`ija Sentencing Brief, p. 24; Witness DN, referred to in T. 5746.

<sup>&</sup>lt;sup>511</sup> Sentencing Hearing T. 5748, referring to two persons who did not respond to the call-up: one was sentenced to two years' imprisonment, the other to eight years' imprisonment.

Kolund`ija Sentencing Brief, p. 24; Witness A, referred to in T. 5746-47.

<sup>&</sup>lt;sup>513</sup> Kolund ija Sentencing Brief, p. 24, Sentencing Hearing, T. 5752.

<sup>&</sup>lt;sup>514</sup> Witness DK, T. 4803-06; Sentencing Hearing, T. 5749-51.

<sup>&</sup>lt;sup>515</sup> Kolund`ija Sentencing Brief, p. 29.

<sup>&</sup>lt;sup>516</sup> Sentencing Hearing, T. 5751.

Kolund`ija Sentencing Brief, p. 31; Sentencing Hearing, T. 5754.

<sup>&</sup>lt;sup>518</sup> Witness A, T. 627-32, referred to in Kolund`ija Sentencing Brief, p. 31; Sentencing Hearing, T. 5754-55.

<sup>&</sup>lt;sup>519</sup> Witness W, T. 3878-950, referred to in Kolund`ija Sentencing Brief, pp. 31-32; Witness E, T. 1269-300, referred to in Kolund`ija Sentencing Brief, p. 35; Witness A, referred to in Sentencing Hearing, T. 5754-57.

<sup>&</sup>lt;sup>520</sup> Witness A, T. 627-32, referred to in Kolund` ija Sentencing Brief, p. 31; Sentencing Hearing, T. 5755-56.

<sup>&</sup>lt;sup>521</sup> Witness DM, T. 5093.

<sup>&</sup>lt;sup>522</sup> Witness X, T. 4117.

<sup>&</sup>lt;sup>523</sup> Sentencing Hearing, T. 5752.

mistreated or tolerated mistreatment of detainees at the camp<sup>524</sup> and that, often at risk to himself, he went out of his way to help to relieve the conditions. 525 The Defence submits that it is not a common denominator between the three defendants that there were beatings; there were no beatings on Kolund`ija's shift. 526 The Defence relies on the testimony of many witnesses stating that Kolund`ija never prevented anyone from going to the toilets; food, water, medicine and insecticides were brought to the camp and distributed; detainees could leave their rooms for fresh air; detainees had time to eat decently; there was no killing or mistreatment when he was around; detainees could wash themselves; he allowed people to use the telephone; he allowed the detainees to receive blankets; and he took a few of the inmates to see their families. 527 The Defence points out that he took detainees home to wash, shower and bathe. 528 The Defence notes that many witnesses testified that they considered Kolund`ija's shift to be the best and the safest. 529 One witness testified that Kolund`ija always behaved decently towards him, 530 another witness referred to him as a kind person, <sup>531</sup> and another as a good man. <sup>532</sup> The Defence also points out that one witness testified that Kolund`ija disobeyed the order of a superior and might have been punished for having allowed men to come out of their room. 533 The Defence further submits that some witnesses testified that they would have been killed if Kolund`ija had not been there. 534

The Defence accepts that Kolund`ija knew a lot of the detainees, since he had been born and raised in Prijedor along with them. <sup>535</sup> The Defence, relying on witness testimonies and statements from former detainees, 536 contests the Prosecution's submission that Kolund'ija only gave preferential treatment to those he knew. 537

The Defence also notes that Kolund'ija behaved the same way towards the Room 3 detainees as the rest of the detainees: one former detainee stated that, when Kolund`ija heard that

537 Sentencing Hearing, T. 5758.

<sup>&</sup>lt;sup>524</sup> Since this is conceded by the Prosecution in the Kolund`ija Plea Agreement, the Defence decided not to give any example, but referred to Witness S, T. 3676; Salko Saldumovic, T. 3527; Witness DN, T. 5151-52, see Kolund'ija Sentencing Brief, p. 33; Sentencing Hearing, T. 5752.

<sup>525</sup> Kolund ija Sentencing Brief, p. 32.

<sup>526</sup> Sentencing Hearing, T. 5754.

<sup>&</sup>lt;sup>527</sup> See Kolund`ija Sentencing Brief, pp. 33-40, citing Witness A, T. 561-745; Witness B, T. 745-864; Witness C, T. 896; Witness E, T. 1269-300; Fikret Hidi}, T. 2355-68; Witness M, T. 2798-802; Witness O, T. 3022-25; Salko Saldumovi), T. 3528; Senad Kenjar, T. 3592; Witness DK, T. 4752-877; Witness DN, T. 5132-211; Sentencing Hearing, T. 5757-59.

<sup>&</sup>lt;sup>528</sup> Sentencing Hearing, T. 5757.

<sup>&</sup>lt;sup>529</sup> See Kolund`ija Sentencing Brief, pp. 33–40, citing Witness A, T. 561-745; Witness C, T. 896; Hajrudin Zubovic, T. 2654-58; Senad Kenjar, T. 3592; Witness DN, T. 5132-211; Sentencing Hearing, T. 5762.

<sup>&</sup>lt;sup>530</sup> Witness J, T. 2166.

Salko Saldumovic, T. 3528.

<sup>&</sup>lt;sup>532</sup> Witness DN, T. 5157.

<sup>&</sup>lt;sup>533</sup> See Kolund ija Sentencing Brief, p. 37, citing Witness P, T. 3219-3133; Sentencing Hearing, T. 5748-49.

<sup>&</sup>lt;sup>534</sup> Witness A; Witness B, referred to in Sentencing Hearing, T. 5762.

<sup>&</sup>lt;sup>535</sup> Sentencing Hearing, T. 5760.

<sup>&</sup>lt;sup>536</sup> Witness DN, T. 5155; Witness Statements filed 8 Oct. 2001 ("Witness Statements") pp. 30, 33, 56 and 64, referred to in Sentencing Hearing, T. 5758-60.

the people in Room 3 had not been given anything to eat, the detainees from this room were the first to be given food that day. 538

- 221. The Defence asserts that, taking all this evidence into account, Kolund`ija might reasonably have expected to be acquitted on all charges; therefore, it considers that his decision to plead guilty can only be taken as additional and cogent evidence of his remorse.<sup>539</sup>
- 222. The Defence submits that Kolund`ija has no criminal convictions to date,<sup>540</sup> and had an excellent character before and since the war.<sup>541</sup> The Defence submits evidence, from both Croats and Muslims, that Kolund`ija had never discriminated against another nationality or religious group.<sup>542</sup> The Defence notes that no fewer than 41 Muslim or Croat victims of the camp have spoken in favour of Dragan Kolund`ija before the Chamber.<sup>543</sup>
- 223. The Defence refers to the report of the Commander of the United Nations Detention Unit in which it is stated that Kolund`ija has always behaved in a gentlemanly fashion, that he has at all times complied with the Rules of Detention,<sup>544</sup> that he has been helpful and shown respect for his fellow detainees and so made life more tolerable for all in detention.<sup>545</sup> The Defence submits that this report is consistent with Kolund`ija's behaviour at Keraterm.<sup>546</sup>
- 224. The Defence points out that, in the *Furund`ija* case, the Chamber considered that the age and family circumstances of an accused are important factors.<sup>547</sup> The Defence submits that Kolund`ija is now 41 years old, with elderly parents,<sup>548</sup> and that he has opportunities for future employment.<sup>549</sup> The Defence considers that his return to normal life in Prijedor may help restore ethnic harmony.<sup>550</sup>

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<sup>&</sup>lt;sup>538</sup> Witness Statements, p. 64.

Kolund`ija Sentencing Brief, p. 40.

<sup>&</sup>lt;sup>540</sup> *Ibid*: Witness Statements, referred to in Sentencing Hearing, T. 5769.

The Defence referred to the Witness Statements, of which 23 were from character witnesses, of whom 11 were ethnic Muslims, 8 of whom were detainees at the camp, 8 were ethnic Croats and 2 were Jehovah's Witnesses living in Prijedor.

<sup>&</sup>lt;sup>542</sup> Kolund`ija Sentencing Brief, p. 40; Witness A, referred to in Kolund`ija Sentencing Brief, p. 14; Witness DK, T. 4752-877, referred to in Kolund`ija Sentencing Brief, p. 38; Witness Statements pp. 1, 16; Sentencing Hearing, T. 5762-63.

<sup>&</sup>lt;sup>543</sup> Sentencing Hearing, T. 5762.

Rules Governing the Detention of Persons Awaiting Trial or Appeal Before the Tribunal or Otherwise Detained on the Authority of the Tribunal, IT/38/Rev. 8.

<sup>&</sup>lt;sup>545</sup> Report on Behaviour, 19 Sept. 2001, Exh. D4/3, referred to in Kolund ija Sentencing Brief, p. 40; Sentencing Hearing, T. 5770.

<sup>&</sup>lt;sup>546</sup> Kolund`ija Sentencing Brief, p. 41.

Sentencing Hearing, T. 5770; referring to *Prosecutor v. Anto Furund ija*, Case No. IT-95-17/1-T, Judgement, 10 Dec. 1998, para. 284.

<sup>548</sup> Sentencing Hearing, T. 5770.

Letters from companies prepared to employ Kolund' ija, filed 8 Oct. 2001, referred to in Sentencing Hearing, T. 5770.

<sup>&</sup>lt;sup>550</sup> Sentencing Hearing, T. 5771.

225. The Defence submits that Kolund`ija has already been punished severely for his part in Keraterm as he already lost nearly two and a half years of his life in prison, and he is separated from his wife, son and daughter, 551 and from his elderly parents. 552 The Defence also points out that Dragan Kolund`ija's psychological state has deteriorated substantially over the period he has spent in detention. 553

226. For the foregoing reasons, the Defence submits that the level of mitigation must be at the high end of the scale. 554

# b. Findings

The Chamber considers the following factors in mitigation of sentence: Dragan Kolund`ija's 227. quilty plea and his favourable treatment of the detainees.

228. The Trial Chamber observes that Kolund`ija, unlike his co-accused, pleaded guilty before the commencement of his case, although after the close of the Prosecution case. For the reasons discussed in relation to Sikirica and Do{en's quilty pleas, 555 and considering the additional savings to the International Tribunal on account of his more timely guilty plea, the Chamber finds that, although not made at the commencement of the proceedings, Dragan Kolund`ija should receive close to full credit for his guilty plea.

229. The Chamber has heard ample evidence of Dragan Kolund`ija's efforts to ease the harsh conditions in the Keraterm camp for many of the detainees. 556 It considers that, on the basis of the testimony as to his benevolent attitude towards the detainees, Dragan Kolund`ija should receive a significant reduction in his sentence.

230. As noted above with respect to both Sikirica and Došen, a Trial Chamber must be satisfied that any remorse expressed is sincere. In this regard, the Chamber takes account of Dragan Kolund`ija's statement to the Chamber during the Sentencing Hearing, in which he said: "I express regret and remorse for all the acts, including my acts in situations when I could have done more and didn't. I am aware that this is no compensation to my own people of Prijedor, but I do hope that I will be contributing to a new beginning. My remorse will certainly not remove the scars of a

 $<sup>^{551}</sup>$  Witness Statements, pp. 9-10, referred to in Kolund` ija Sentencing Brief, p. 41.

<sup>552</sup> Sentencing Hearing, T. 5771.

<sup>&</sup>lt;sup>553</sup> Petrovic Report and Report of Prof. Jovan Maric, referred to in Kolund`ija Sentencing Brief, p. 41; Sentencing Hearing, T. 5772, referring *inter alia* to an incident obliging the International Tribunal to adjourn a session. <sup>554</sup> Kolund`ija Sentencing Brief, p. 41.

<sup>555</sup> See paragraphs 150 and 193.

<sup>&</sup>lt;sup>556</sup> See paragraphs 67 - 73, 75 - 77.

painful past, but I sincerely hope that it will help heal the wounds." <sup>557</sup> In the Chamber's opinion his expression of remorse was sincere and this will be taken into account in mitigation of sentence.

<sup>&</sup>lt;sup>557</sup> Sentencing Hearing, T. 5743.

#### V. TRIAL CHAMBER'S DETERMINATION OF SENTENCE

- 231. Having identified the relevant matters to be taken into consideration, the Trial Chamber will now consider the relative weight to be accorded to each factor in determining sentence, in relation to each of the accused. In this regard, the Trial Chamber recalls that the overriding obligation of the Trial Chamber in determining sentence is to "individualise a penalty to fit the individual circumstances of the accused and the gravity of the crime". <sup>558</sup>
- 232. At the outset, the Chamber notes that each of the accused has been convicted of the crime of persecution, a crime against humanity, which, in the Chamber's opinion, is "inherently very serious". This crime, like other crimes against humanity, requires that the acts of the accused be related to a widespread and systematic attack on a civilian population of which the accused had knowledge. Moreover, persecution is the only crime enumerated in Article 5 of the Statute which requires a discriminatory intent, and which, by its nature, may incorporate other crimes. The *Bla{ki}* Trial Chamber and, more recently, this Chamber in the *Todorovi}* case, stated that the crime of persecution, on account of these distinctive features, justifies a more severe penalty. <sup>561</sup>

### 1. Du{ko Sikirica

- 233. The gravity of Du{ko Sikirica's crime is distinguished from that of his co-accused on account of the breadth of the underlying criminal conduct and, more significantly, on the basis of the extent of his direct personal involvement in the crimes. He alone has been convicted for committing a murder in the camp, by shooting one of the detainees at close range within view of other detainees and camp guards. As discussed above, that crime is aggravated by his role as Commander of Security within the camp.
- 234. The primary factor which the Trial Chamber has considered in mitigation of Du{ko Sikirica's sentence is his guilty plea. His expression of remorse has also been considered. It is worth noting that, had he not pleaded guilty in the circumstances of this case, even taking into account the lateness of that plea, he would have received a much longer sentence.
- 235. In the case of Du{ko Sikirica, the Trial Chamber has decided that fifteen years' imprisonment is the appropriate punishment.

<sup>559</sup> *Todorovi*} Sentencing Judgement paras 32 and 113.

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<sup>&</sup>lt;sup>558</sup> ^elebi}i Appeal Judgement, para. 717.

Tadi} Sentencing Appeals Judgement, para. 271; Prosecutor v. Kordi} et al., Case No. IT-95-14/2-T, Judgement, 26 Feb. 2001, paras 178, 185.

<sup>&</sup>lt;sup>561</sup> Prosecutor v. Tihomir Bla{ki}, Case No. IT-95-14-T, Judgement, 3 Mar. 2000, para. 785; Todorovi} Sentencing Judgement, para. 113.

236. Du{ko Sikirica has been detained in the United Nations Detention Unit since his arrest on 25 June 2000. Pursuant to Rule 101 (C), he is entitled to credit for the time he has spent in detention, which amounts to 1 year, 4 months and 19 days. In accordance with Rule 102 (A), the sentence shall begin to run as of today.

#### 2. Damir Do{en

- 237. Damir Do{en's offence is a serious one, especially in light of the fact that he has been convicted of the crime of persecution. However, the Chamber, in assessing the gravity of the offence, has borne in mind that, while Damir Do{en has admitted to being aware of beatings occurring on his shift, the Plea Agreement does not suggest his direct involvement in any of those beatings.
- 238. In relation to those factors which have been taken into account in mitigation of sentence, the Trial Chamber considers that Damir Do{en's guilty plea and the evidence of the consideration that he showed the detainees are of primary importance. Damir Do{en's expression of remorse, which the Trial Chamber has found to be sincere, has also been considered.
- 239. Accordingly, in relation to Damir Do{en, the Trial Chamber finds that five years' imprisonment is the appropriate sentence.
- 240. Damir Do{en has been detained in the United Nations Detention Unit since his arrest on 25 October 1999. Pursuant to Rule 101 (C), he is entitled to credit for the time he has spent in detention, which amounts to 2 years and 19 days. In accordance with Rule 102 (A), the sentence shall begin to run as of today.

#### 3. Dragan Kolund`ija

- 241. Although Dragan Kolund`ija has been convicted of the crime of persecution, in the Chamber's view, the gravity of his crime is considerably diminished by the fact, as set forth in the Plea Agreement, that there was no evidence of his direct, personal involvement in any of the underlying criminal conduct. <sup>562</sup>
- 242. The Trial Chamber has considered the following mitigating circumstances in relation to Dragan Kolund`ija. Firstly, there is his guilty plea, which, unlike those of his co-accused, was entered before the presentation of evidence had commenced in his case. Secondly, there is the fact that many of the former detainees who testified in the Prosecution case gave evidence that Dragan Kolund`ija had, on many occasions, acted to alleviate the appalling conditions that prevailed in the camp. These mitigating factors weigh heavily in favour of a substantial reduction in his sentence.
- 243. Accordingly, in relation to Dragan Kolund`ija, the Chamber has determined that a sentence of three years' imprisonment is appropriate.
- 244. Dragan Kolund`ija has been detained in the United Nations Detention Unit since his arrest on 7 June 1999. Pursuant to Rule 101 (C), he is entitled to credit for the time he has spent in detention, which amounts to 2 years, 5 months and 6 days. In accordance with Rule 102 (A), the sentence shall begin to run as of today.

<sup>&</sup>lt;sup>562</sup> Kolund`ija Plea Agreement, para. 1 (C).

<sup>&</sup>lt;sup>563</sup> See paragraphs 67 - 73, 75 - 77.

### VI. DISPOSITION

245. For the foregoing reasons, having considered the arguments of the parties, the evidence presented at the Sentencing Hearing, and the Statute and the Rules, the TRIAL CHAMBER IMPOSES THE FOLLOWING SENTENCES:

In respect of DU[KO SIKIRICA, a sentence of 15 years' imprisonment with credit for 1 year, 4 months and 19 days as of the date of this Sentencing Judgement, together with such additional time as he may serve pending the determination of any appeal.

In respect of DAMIR DO[EN, a sentence of 5 years' imprisonment with credit for 2 years and 19 days as of the date of this Sentencing Judgement, together with such additional time as he may serve pending the determination of any appeal.

In respect of DRAGAN KOLUND@IJA, a sentence of 3 years' imprisonment with credit for 2 years, 5 months and 6 days as of the date of this Sentencing Judgement, together with such additional time as he may serve pending the determination of any appeal.

Pursuant to Rule 103 (C), each of the convicted persons shall remain in the custody of the International Tribunal pending the finalisation of arrangements for transfer to the State where sentence will be served.

Done in English and French, the English text being authoritative.

	Patrick Robinson, Presiding	_ }
Richard May	_	Mohamed Fassi Fihri

Dated this thirteenth day of November 2001 At The Hague The Netherlands

[Seal of the Tribunal]